



DEPT OF BLDGS 421401762

Job Number

ES628445908

Scan Code

TR8: Technical Report
Statement of Responsibility for
Energy Code Progress Inspections

DEPT. BLDGS.

421401762

Job Number

SC140905022

Scan Code

*This form must be typewritten***1 Location Information** (required for all applications)

House No(s) 67-14

Street Name 73rd place

BIN No(s) 4535656

Work on Floor(s) 001

2 Applicant Information (required for all applications)Choose all that apply: ☒ Design Applicant 3A, 4 ☒ Progress Inspections Applicant 3B-D, 5-6

Last Name GUTTMANN

First Name GEORGE

Middle Initial

Business Name A.V.E.XENGINEERING, P.C.

Business Phone (718) 758-4880

Business Address 1901 EMMONS AVENUE

Email Address

City BROOKLYN

State NY

Zip 11235

Mobile Telephone

License Type (choose one): ☒ P.E. ☐ R.A.

License Number 049161

3 Energy Code Progress Inspection (required for applications where Energy Code Compliance Progress Inspection is marked 'Yes' on TR1)

3A ← Identification of Requirement

		3B Identification of Responsibilities		3C Certificate of Complete Inspections/Tests	3D Withdraw Responsibilities
		Initial & Date		Initial & Date	Initial & Date
Y	N Progress Inspections	Table Reference in 1RCNY §5000-01(h) (1) and (2)			
☒	☐ Protection of exposed foundation insulation	(IA1), (IIA1)		10-10-2018	
☒	☐ Insulation placement and R-values	(IA2), (IIA2)		10-10-2018	
☐	☒ Fenestration and door U-factor and product ratings	(IA3), (IIA3)			
☐	☒ Fenestration air leakage	(IA4), (IIA4)			
☐	☒ Fenestration areas	(IA5), (IIA5)			
☒	☐ Air barrier - visual inspection	(IA6), (IIA6)		10-10-2018	
☐	☒ Air barrier - testing	(IA7), (IIA7)			
☐	☒ Air barrier continuity plan testing/inspection	(IIA8)			
☐	☒ Vestibules	(IIA9)			
☐	☒ Fireplaces	(IB1), (IIB1)			
☐	☒ Ventilation and air distribution system	(IB2)			
☐	☒ Shutoff dampers	(IIB2)			
☐	☒ HVAC-R and service water heating equipment	(IB3), (IIB3)			
☐	☒ HVAC-R and service water heating system controls	(IB4), (IIB4)			
☐	☒ HVAC-R and service water piping design and insulation	(IB5), (IIB5)			
☐	☒ Duct leakage testing, insulation and design	(IB6), (IIB6)			
☐	☒ Metering	(IC1), (IIC1)			
☒	☐ Lighting in dwelling units	(IIC2)		10-10-2018	
☒	☐ Interior lighting power	(IC2), (IIC3)		10-10-2018	
☐	☒ Exterior lighting power	(IIC4)			
☐	☒ Lighting controls	(IIC5)			
☐	☒ Electrical motors and elevators	(IIC6)			
☐	☒ Maintenance information	(ID1), (IID1)			
☐	☒ Permanent certificate	(ID2)			
☐	☒ Electric vehicle service equipment requirements	(ID3)			

* For column 3C, indicate date when the actual final inspection was performed.

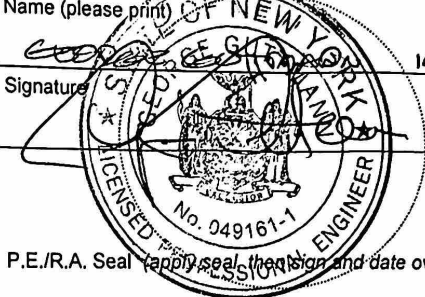
4 Design Applicant's Statements and Signatures (P.E./R.A. responsible for plans must sign and seal)

I have identified herein all of the progress inspections, and commissioning required for compliance and determined whether commissioning is required.

Commissioning is required for applications where C408 or ASHRAE 90.1 Section 6.7.2.3 requires commissioning. Check one:

- ☐ This project requires commissioning and a preliminary commissioning report certification will be provided prior to sign-off.
- ☐ This project does not require commissioning.

Name (please print) _____
Signature _____ Date 10/10/2018



P.E./R.A. Seal (apply seal, then sign and date over seal)

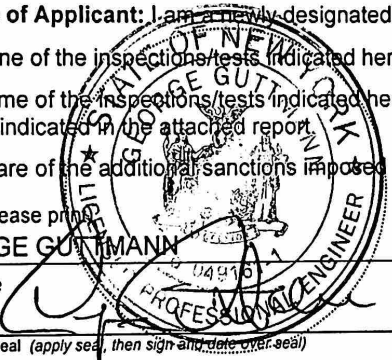
5 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☒ For the **Progress Inspections** indicated above in Section 3 and identified by me for responsibility, I certify that I am the principal/director of the progress inspection agency accepting responsibility for conducting the inspections as identified in section 3B. I further certify that I have read the applicable sections of the New York City Construction Codes and 1 RCNY 5000-01 in connection with progress inspections as well as 1 RCNY 101-07, which specifies the qualifications required for each progress inspector, and that this agency meets those qualifications for each and every progress inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rules. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:
- ☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print) _____
GEORGE GUTTMANN
Signature _____ Date 10-10-2018



P.E./R.A. Seal (apply seal, then sign and date over seal)

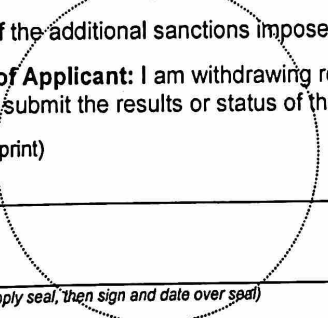
6 Inspection Applicant's Certification of Completion

- ☐ I have completed the items specified herein and certify the following (check one only):
- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations.
- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print) _____
Signature _____ Date _____



P.E./R.A. Seal (apply seal, then sign and date over seal)