



PW1: Plan / Work Application

Must be typewritten.

PAA DOC 4



1	Location Information Required for all applications.
House No(s) 104-64 Street Name 39 AVE	
Borough QUEENS Block 1776 Lot 25 BIN 4044622 C.B. No. 403	
Work on Floor(s) Apt. / Condo No(s)	
2	Applicant Information Required for all applications. Fax, mobile telephone and e-mail address are optional information.
Last Name LI First Name LING Middle Initial	
Business Name LI ARCHITECT ASSOCIATE PLLC Business Telephone 718-359-8982	
Business Address 136-15 37TH AVE #3B Business Fax 718-939-8070	
City FLUSHING State NY Zip 11354 Mobile Telephone	
E-Mail NIGIMAIL@YAHOO.COM License Number 026687	
Choose one: ☐ P.E. ☒ R.A. ☐ Sign Hanger ☐ Other, please specify:	
3	Filing Representative Complete only if different from applicant specified in section 2. Fax, mobile phone, and e-mail are optional info.
Last Name WU/CAI First Name C/H/JIE Middle Initial	
Business Name LI ARCHITECT ASSOCIATE, PLLC Business Telephone 718-359-8982	
Business Address 136-15 37TH AVE #3B Business Fax 718-939-8070	
City FLUSHING State NY Zip 11354 Mobile Telephone	
E-Mail Registration Number	
4	Filing Status Required for all applications. Choose one and provide specified associated information.
☐ Initial Filing 5, 7, 11, 12A, 25-26 Review is requested under which Building Code? ☐ 2008 ☐ 1968 ☐ Prior to 1968 Choose ☐ Standard Plan Examination or Review one: ☐ Professional Certification PC1, POC1 ☐ Self Certification of Objections A11	
☐ Prior to Approval Actions 25-26 ☐ Amend Existing Filing 4A ☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11	
☒ Post Approval Amendment (PAA) 4A, 24-25 Will PAA affect filing fees? ☐ Yes ☒ No	
☐ New Applicant 4A, 25-26	
☐ Reinstatement 24-26 ☐ Withdrawal 25-26 ☐ Specified in 4A and 6 ☐ Entire Job 4A Indicate existing document number affected by filing:	
5	Job/Project Types Choose one and provide specified associated information.
☐ Alteration Type 1 6A-E, 8B-C, 9-10, 12, 13C-F & 14, 18-20, 22, PW1-A, PD1, select all that apply: ☐ Change in Exits ☐ Change in Number of Stories ☐ Change in Number of Dwelling Units ☐ Change in Occupancy / Use ☐ Change inconsistent with current Cert. of Occup.	
☐ Alteration Type 1, OT: "No Work" 8C, 9-10 & 12, 13C-F, 14, 18-19, PW1-A, PD1	
☐ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, & 13C-E, 14, 20, 22	
☐ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 22	
☐ New Building 6A-E, 8F-G, 9A-C, 10, 12, 13A-E (13B: 2008 Code only), 14, 18-20, PW1-A, PD1	
☐ Full Demolition 6B, 8D, 9B-D, & 13D-E, 14, 21A, 22	
☐ Sign 5A, 6B-D, 9B, 22-23	
☐ Subdivision 9B, 12A-B	
☐ Condominium ☐ Improved 17	
5A Directive 14 acceptance requested? ☐ Yes ☐ No	
6	Work Types Select all that apply but no more than allowed by job and filing type. "OT" required on all NB and Alteration 1 initial applications.
6A ☐ BL - Boiler PW1-C ☐ FS - Fuel Storage PW1-C ☒ PL - Plumbing PW1-B	
☐ FA - Fire Alarm ☐ FP - Fire Suppression ☐ SD - Standpipe PW-1B	
☐ FB - Fuel Burning PW1-C ☐ MH - Mechanical ☐ SP - Sprinkler PW-1B	
6B ☐ EQ - Construction Equipment 15	
6C ☐ OT/GC - General Construction	
6D ☒ OT - Other, describe: DWG	
6E ☐ CC - Curb Cut 16	
6F ☐ OT/ANT - Antenna	
☐ OT/BPP - Builders Pavement Plan 8D	
☐ OT/FPP - Fire Protection Plan	
☐ OT/MAR - Marquee 8E, 26B	

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11	Job Description	11A	Related DOB Job Numbers
		11B Primary application job no.	

12 Zoning Characteristics											
12A District(s) Overlay(s) Special Dist.(s) Map Number				12B Street legal width: _____ ft. Street Status: ☐ Public ☐ Private <i>If the zoning lot includes multiple tax lots, list all tax lots here ►</i>							
12C Proposed: Use*		Zoning	Floor Area	District	FAR	Proposed Lot Details:			Proposed Yard Details:		
			sq. ft.			Lot Type: ☐ Corner ☐ Interior ☐ Through			Check here if no yards: ☐ or		
			sq. ft.			Lot Coverage _____ %			Front Yard _____ ft.		
			sq. ft.			Lot Area _____ sq. ft.			Rear Yard _____ ft.		
			sq. ft.			Lot Width _____ ft.			Rear Yard Equivalent _____ ft.		
			sq. ft.			Proposed Other Details:			Side Yard 1 _____ ft.		
			sq. ft.			Enclosed Parking? ☐ Yes ☐ No			Side Yard 2 _____ ft.		
Proposed Totals			sq. ft.			If yes, no. of parking spaces: _____					
Existing Total			sq. ft.			Perimeter Wall Height _____ ft.					

**Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.*

13 Building Characteristics *Main use/dominant occupancy per AC §28-101.5. **Use 2008 Code equivalents only.									
13A Primary structural system, choose one : ☐ Masonry ☐ Concrete (CIP) ☐ Concrete (Precast) ☐ Wood ☐ Steel (Structural) ☐ Steel (Cold-Formed) ☐ Steel (Encased in Concrete)									
13B		Existing		Proposed		13D Building Type: ☐ 1, 2, or 3 Family ☐ Other			
Structural Occupancy Category						Mixed use building? ☐ Yes ☐ No			
Seismic Design Category		2008 Code Designations?		2008 Code Designations?					
13C Occupancy Classification*		☐ Yes ☐ No		☒ Yes**		13E			
Construction Classification		☐ Yes ☐ No		☐ Yes ☐ No		Existing		Proposed	
Multiple Dwelling Classification						Building Height _____ ft.		_____ ft.	
						Building Stories _____		_____	
						Dwelling Units _____		_____	
13F Building was originally erected pursuant to which Building Code: ☐ 2008 ☐ 1968 ☐ Prior to 1968									
The earliest Code with which this building or any part of it is required to comply: ☐ 2008 ☐ 1968 ☐ Prior to 1968									

14 Fill Choose one .									
☐ Not Applicable ☐ On-Site ☐ Off-Site ☐ Under 300 cubic yards									

15 Construction Equipment						16 Curb Cut Description					
☐ Chute ☐ Sidewalk Shed Construction Material: _____ ☐ Fence Size: _____ linear ft. BSA/MEA Approval No. _____ ☐ Supported Scaffold ☐ Other: _____						Size of cut (with splays): _____ ft. Distance to nearest corner: _____ ft. on street: _____					

17 Tax Lot Characteristics										18 Fire Protection Equipment									
Original tax lots being merged or reapportioned (if applicable):										Existing Proposed									
										Yes No Yes No									
Tentative tax lot numbers (new tax lots only):										Fire Alarm ☐ ☐ ☐ ☐									
										Fire Suppression ☐ ☐ ☐ ☐									
										Sprinkler ☐ ☐ ☐ ☐									
										Standpipe ☐ ☐ ☐ ☐									

19 Open Spaces						20 Site Characteristics							
		Existing	Proposed			Existing		Proposed	Yes No				
Plaza Area		sq. ft.	sq. ft.	Arcade Area		sq. ft.	sq. ft.			☐ ☐ Tidal / Fresh Water Wetlands			
Parking Area		sq. ft.	sq. ft.	Parking Spaces						☐ ☐ Urban Renewal			
Loading Berths		sq. ft.	sq. ft.	Loading Berths						☐ ☐ Fire District			
						☐ ☐ Flood Hazard Area							

21	Demolition Details <i>Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).</i>
Yes No 21A ☐ ☐ Demo. filing is for a secondary structure? <i>If yes, specify structure being demolished:</i> ☐ ☐ Mechanical means* from out of building? <i>If yes, mechanical means will demolish:</i> ☐ entire structure or ☐ part of structure ☐ ☐ Mechanical means* from within building? <i>If yes, describe equipment proposed:</i>	
21B ☐ ☐ Demolition work affects the exterior building envelope	

22	Asbestos Abatement Compliance <i>Choose one.</i>
☐ The scope of work requires related asbestos abatement as defined in the regulations of the NYC Department of Environmental Protection (DEP). ☐ The scope of work does not require related asbestos abatement as defined in the regulations of the NYC DEP. ☐ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(a)).	

23 Sign			
Purpose:	Type:	Estimated Cost: \$	23A Illuminated type: ☐ Direct ☐ Flashing ☐ Indirect
☐ Advertising	☐ Illuminated 23A	Total Square Feet:	Yes No
☐ Non-Advertising	☐ Non-Illuminated	Height above Curb: ft. in.	☐ ☐ If sign projects beyond building line, is owner billed for annual permit? <i>If no, specify in 26B</i>
Location: ☐ Ground ☐ Roof 23B ☐ Wall		Height above Roof: ft. in.	
Yes No			23B ☐ ☐ Is roof sign tight, closed or solid?
☐ ☐ Is sign inside building line? <i>If no, sign projects by:</i> ft. in.			23C Sign wording. <i>If extensive, provide only key wording.</i>
☐ ☐ Designed for changeable copy? <i>If no, 23C</i>			
☐ ☐ Does an OAC have an interest in this sign or location? <i>If yes, 23G</i>			23D Distance from Arterial Highway: ft.
☐ ☐ Within 900' and within view of an arterial highway? <i>If yes, 23D</i>			23E Distance from Park 1/2 acre or more: ft.
☐ ☐ Within 200' and within view of a park 1/2 acre or more? <i>If yes, 23E</i>			23F OAC Sign Number:
▶ <i>If answer is "yes" to either of the above two questions and this is an advertising sign, OAC sign number is required in section 23F</i>			23G OAC Registration Number:

24	Comments <i>Place additional comments on an AI-1 form. See Guide for proper incorporation of professional certification statements.</i>
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Amend plan and schedule B

25	Applicant's Statements and Signatures <i>Required for all applications.</i>
Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. I prepared or supervised the preparation of the construction documents and specifications herewith submitted and to the best of my knowledge and belief, the construction documents and work shown thereon comply with the provisions of the NYC Administrative Code and other applicable laws and rules. ☐ (—check here if) except as set forth in the accompanying documents. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted. Cluster Development Statement (if applicable): I hereby state that all specifications relating to this job are identical to those previously filed under the group lead job number, except as specified herein.	
Yes No ☐ ☐ For initial New Building and Alteration 1 applications filed under the 2008 NYC Building Code only: does this building qualify for high-rise designation? ☐ ☐ Directive 14 initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy.	
Name (please print) _____ Signature _____ P.E. / R.A. Seal (apply seal, then sign and date over seal) _____ Date _____	

26 Property Owner's Statements and Signatures

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with the Energy Conservation Construction Code of NYS (ECCCNYS).

Yes No

☐ ☐

Energy Conservation Construction Code of NYS

Does the proposed work constitute part or all of a replacement of 50% or more of a system or subsystem at this location in any consecutive 12 month period?

☐ ☐

Fee Deferred Request Statement

I hereby request a fee deferral for the work proposed on this application and understand that all fees must be paid before issuance of any Certificate of Occupancy or job sign off.

☐ ☐

Fee Exemption Request Statement

In accordance with §28-112.1 of the NYC Administrative Code I hereby state that the proposed work involves a building or property owned or used exclusively for the purposes indicated in such section.

☐ ☐

Owner's Certifications Regarding Occupied Housing

The site of the building to be altered or demolished, or the site of the new building to be constructed, contains one or more occupied dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.

☐ ☐

The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. *If yes, select one of the following:*

☐ The owner is not required to notify the Division of Housing and Community Renewal (DHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to DHCR regulations, does not require notification.

☐ The owner has notified the Division of Housing and Community Renewal (DHCR) of its intention to file such construction documents/apply for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such [filing/application].

Provide date DHCR notified: _____

☐ ☐

Owner's Certification for Adult Establishments

I authorize and intend to create, enlarge, or extend an establishment with adult activity and/or adult material as defined in ZR §12-10 "adult establishment" or related sign at the subject premises.

☐ ☐

Owner's Certification for Directive 14 Applications (if applicable)

I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Owner type: ☐ Individual ☐ DCAS ☐ HHC ☐ NYCHA
☐ Partnership ☐ DOE ☐ HPD ☐ NYS
☐ Corporation 26A ☐ Other Government
☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A

Is the owner a non-profit organization? ☐ Yes ☐ No

Name (please print): _____

Relationship to Owner: _____

Business Name/Agency: _____

Street Address: _____

City: _____

State: _____

Zip: _____

Telephone Number: _____

Fax: _____

E-Mail Address: _____

Signature and Date ►

26A Condo/Co-Op Board or Corporation Second Officer

Name (please print): _____

Title: _____

Street Address: _____

City: _____

State: _____

Zip: _____

Telephone Number: _____

Fax: _____

E-Mail Address: _____

Signature and Date* ►

**Signature required for authorized representative of Condo or Co-Op board. Second officer signature not required for corporations.*

26B Lessee Responsible for Annual Sign or Marquee Permit

Name (please print): _____

Relationship to Owner: _____

Business Name/Agency: _____

Street Address: _____

City: _____

State: _____

Zip: _____

Telephone Number: _____

Fax: _____

E-Mail Address: _____

Internal Use Only

Pre-Filer Name: _____

Pre-Filer Signature: _____

Date: _____

Cost Estimate: \$ _____

Amount Due: \$ _____

Verified by ▼

Date ▼

Initial Amount Paid: \$ _____

Balance Due: \$ _____

Stamps, Certifications and Notes: _____