



TR1: Technical Report Statement of Responsibility

This form must be typewritten

DEPT BLDGS Job No. 340439163



Scan Code ESHS1698695

1 Location Information Required for all applications.

House No(s) 35

Street Name ST. MARKS AVENUE

Work on Floor(s) 004,ROOF

2 Applicant Information Required for all applications.

Choose all that apply ☒ Design Applicant 3A, 4A, 5 ☐ Special Inspections Applicant 3B-D, 6-8 ☐ Progress Inspections Applicant 4B-D, 6-8

Last Name HATHEWAY

First Name JOHN

Middle Initial H

Business Name JOHN H HATHEWAY JR., ARCHITECT

Business Telephone (718) 855-4414

Business Address 114 CLINTON ST #1H

Business Fax (718) 855-8680

City BROOKLYN

State NY

Zip 11201

Mobile Telephone (917) 747-0626

License Type choose one: ☐ P.E. ☒ R.A. ☐ Other:

License Number 016631

Special Inspection
Agency Number

3 Special Inspection Items Required for all applications, continued on page 2, ■ indicates report required.

3A Identification of Requirement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N Special Inspections	Code/Section	Initial & Date	Initial & Date
☐	☒ Flood Zone Compliance	BC G105		
☐	☒ Fire Alarm Test	BC 907, BC 1704 13		
☐	☒ Photoluminescent Exit Path Markings ■ TR7	BC 1026 11		
☐	☒ Emergency Power Systems (Generators)	BC 1704 13, BC 2702		
☐	☒ Structural Steel - Welding	BC 1704.3 1		
☐	☒ Structural Steel - Erection & Bolting	BC 1704 3 2, BC 1704 3 3		
☐	☒ Structural Cold-Formed Steel	BC 1704 3 4		
☐	☒ Concrete - Cast-In-Place	BC 1704 4		
☐	☒ Concrete - Precast	BC 1704.4		
☐	☒ Concrete - Prestressed	BC 1704 4		
☐	☒ Masonry	BC 1704 5		
☐	☒ Wood - Off-Site fabrication of Structural Elements	BC 1704 6		
☐	☒ Wood - Installation of High-Load Diaphragms	BC 1704 6 1		
☐	☒ Wood - Installation of Metal-Plate-Connected Trusses	BC 1704 6 3		
☐	☒ Wood - Installation of Prefabricated I-Joists	BC 1704.6.4		
☐	☒ Soils - Site Preparation	BC 1704.7 1		
☐	☒ Soils - Fill placement & In-Place Density	BC 1704 7 2, BC 1704 7 3		
☐	☒ Soils - Investigations (Borings/Test Pits) ■ TR4	BC 1704 7 4		
☐	☒ Pier Foundations & Drilled Pier Installation ■ TR5	BC 1704 8		
☐	☒ Pier Foundations	BC 1704 9		
☐	☒ Underpinning	BC 1704 9 1		
☐	☒ Wall Panels, Curtain Walls, and Veneers ■	BC 1704 10		
☐	☒ Sprayed Fire-Resistant Materials	BC 1704 11		
☐	☒ Exterior Insulation Finish Systems (EIFS)	BC 1704 12		
☐	☒ Alternative Materials - OTCR Buildings Bulletin # _____	BC 1704 13		
☐	☒ Smoke Control Systems	BC 1704 14		
☐	☒ Mechanical Systems	BC 1704 15		
☐	☒ Fuel-Oil Storage and Fuel-Oil Piping Systems	BC 1704 16		
☐	☒ High-Pressure Steam Piping (Welding)	BC 1704 17		
☐	☒ Fuel-Gas Piping (Welding)	BC 1704.18		
☒	☐ Structural Safety - Structural Stability	BC 1704 19		
☐	☒ Mechanical Demolition	BC 1704 19, BC 3306 6		

10/19/17

3 Special Inspection Items (continued) Required for all applications, ■ indicates report required				
3A Identification of Requirement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N	Special Inspections	Code/Section	Initial & Date
☐	☒	Excavation - Sheeting, Shoring, and Bracing	BC 1704 19, BC 3304.4.1	
☐	☒	Soil Percolation Test - Drywell	■ BC 1704 20 1	
☐	☒	Soil Percolation Test - Septic	■ BC 1704 20 1	
☐	☒	Site Storm Drainage Disposal and Detention System Installation	BC 1704 20	
☐	☒	Septic System Installation	BC 1704 20	
☐	☒	Sprinkler Systems	BC 1704 21	
☐	☒	Standpipe Systems	BC 1704 22	
☐	☒	Heating Systems	BC 1704 23	
☐	☒	Chimneys	BC 1704 24	
☐	☒	Firestop, Draftstop, and Fireblock systems	BC 1704 25	
☐	☒	Aluminum Welding	BC 1704 26	
☐	☒	Seismic Isolation Systems	BC 1707 8	
☐	☒	Concrete Test Cylinders	■ TR2 BC 1905 6	Submit TR2 to complete these items
☐	☒	Concrete Design Mix	■ TR3 BC 1905 3	Submit TR3 to complete these items

4 Progress Inspection Items Required for all applications ■ indicates report required.				
4A Identification of Requirement		4B Identification of Responsibilities	4C Certificate of Complete Inspections / Tests	4D Withdraw Responsibilities
Y	N	Progress Inspections	Code/Section	Initial & Date
☐	☒	Preliminary	28-116 2.1, BC 109 2	
☐	☒	Footing and Foundation	BC 109 3 1	
☐	☒	Lowest Floor Elevation (attach FEMA form)	BC 109 3 2	
☐	☒	Frame Inspection	BC 109 3 3	
☒	☐	Energy Code Compliance Inspections	■ TR8 BC 109 3.5	Submit TR8 to complete this item
☒	☐	Fire-Resistance Rated Construction	BC 109 3 4	10/19/17
☐	☒	Public Assembly Emergency Lighting	28-116 2 2	
☐	☒	Final*	28-116 2 4 2, BC 109 5, Directive 14 of 1975, and 1 RCNY §101-10	

* For column 4C, indicate date when the actual final inspection was performed

5 Design Applicant's Statements and Signatures P E / R A responsible for plans, choose both below and sign/seal	
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☐ I have identified all of the special inspections, progress inspections and tests required for compliance

Name (please print)

Signature

Date

☐ I certify that the Special Inspection and Approved Agencies engaged by the owner to supervise the work specified above are acceptable (BC 1704 1)

P.E. / R.A. Seal (apply seal; then sign and date over seal)

6 Owner's Statement and Signature for Progress/Special Inspector Required when inspection applicant identifies responsibilities	
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I have reviewed the information provided herein and, to the best of my knowledge and belief, attest to its accuracy. I approve the identification of the responsible progress inspector. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by a fine or imprisonment, or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

Name (print)

Title

Signature

Date

7 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☐ For the **special inspections** indicated above in section 3, I certify that I am the principal/director of the special inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes in connection with special inspections as well as 1 RCNY 101-06 Rule, which specifies the qualifications required for each inspection and that this agency meets those qualifications for each and every special inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rule. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ I certify that I am conducting **Small Building Inspections** and assume the responsibility for the special inspections specified in section 3 above. I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests. All inspection and test reports shall be signed and made available to the Department. I understand that a qualified special inspection agency is required for Soils Investigation, Pier and Pile installation, Underpinning of structures and Protection of the sides of excavations greater than 10 feet in depth. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ For the **progress inspections** indicated above in section 4, except energy code inspections on the TR1EN form, and/or **concrete test items** indicated in section 3, I assume the responsibility and I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests on such forms and in such matter as the Department requires or requests. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Final Inspection:

- ☐ I will make final inspection of the construction work, including those inspections during its progress necessary to my certification upon final inspection that all work substantially conforms to approved construction documents and applicable laws and rules. I will confirm that the performance of progress inspections and other inspections has been documented before I report the work complete. As prescribed by 1 RCNY 101-10, I will perform the final inspection within 1 year from the expiration of the last valid permit of the work.

Upon completion of the work and within 30 days of my final inspection, I will file a certification attesting to the fact that all work was performed and completed in accordance with the approved construction documents, laws and rules, except as reported otherwise.

I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from expiration of the last valid permit may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:
- ☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P E / R A Seal (apply seal, then sign and date over seal)

8 Inspection Applicant's Certification of Completion

- ☒ I have completed the items specified herein and certify the following (check one only).

- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations.
- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of special/progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print)

JOHN H HATHEWAY JR

10-19-2017

Signature

Date

P E / R A Seal (apply seal, then sign and date over seal)