



PW1: Plan / Work Application

Must be typewritten.

DEPT BLDGS Job No. 321758914
Scan Code ESHS5153069

1 Location Information Required for all applications.

House No(s) 350 Street Name Junius Street
Borough Brooklyn Block 3780 Lot 30 BIN 3084424 C.B. No. 316
Work on Floor(s) 001 Apt. / Condo No(s)

2 Applicant Information Required for all applications. Fax, mobile telephone and e-mail address are optional information.

Last Name Castelli First Name Raffaele Middle Initial
Business Name Howell Belanger Castelli Architects, P.C. Business Telephone (212) 647-0011
Business Address 122 West 27th Street, 4th Floor Business Fax (212) 647-0022
City NEW YORK State NY Zip 10001 Mobile Telephone
E-Mail Ralphc@hbcarch.com License Number 022130-1
Choose one: ☐ P.E. ☒ R.A. ☐ Sign Hanger ☐ R.L.A. ☐ Other:

3 Filing Representative Complete only if different from applicant specified in section 2. Fax, mobile phone, and e-mail are optional info.

Last Name LETTICIA First Name GONZALEZ Middle Initial
Business Name J.A. EXPEDITING LLC Business Telephone (347) 501-3000
Business Address 25 YARMOUTH ROAD Business Fax (347) 501-5500
City East Rockaway State NY Zip 11518 Mobile Telephone
E-Mail LETTICIA@JAEXPEDITING.COM Registration Number 02404

4 Filing Status Required for all applications. Choose one and provide specified associated information.

- ☐ Initial Filing 5, 7, 11, 12A, 25-26
Choose only one:
☐ Standard Plan Examination or Review
☐ Professional Certification PC1, POC1
☐ Professional Certification of Objections A11
- ☐ Prior to Approval Actions 25-26
☐ Amend Existing Filing 4A
☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11
☒ Post Approval Amendment (PAA) 4A, 6, 24-25
Will PAA affect filing fees? ☐ Yes ☒ No
☐ New (Superseding) Applicant 4A, 25-26
- ☐ Reinstatement 24-26
☐ Withdrawal 26
☐ Specified in 4A and 6
☐ Entire Job
4A Indicate existing document number affected by filing: 01

5 Job/Project Types Choose one and provide specified associated information.

- ☐ Alteration Type 1 or Alteration Type 1 required to meet New Building requirements (28-101.4.5) 6A-E, 8B-C, 8F, 9-10, 12, 13C-F, 14, 18-20, 22 & PW1A, PD1
☐ Alteration Type 1, OT: "No Work" 8C, 8F, 9-10 & 12, 13C-F, 14, 18-19, 22, PW1A, PD1
- ☐ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, 13C-E, & 14, 20, 22
☐ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 20, 22
☐ New Building 6A-E, 8F, 9A, 9C-K, 10, 12 & 13A-E, 14, 18-20, PW1A, PD1
☐ Sign 5A, 6B-D, 9A, 9D, 22-23
- ☐ Full Demolition 6B, 8D, 9A & 9C-D, 9K, 13D-E, 14, 21A, 22
☐ Subdivision 9A, 9D, 12A-B
☐ Condominium ☐ Improved 17
5A Directive 14 acceptance requested? ☐ Yes ☐ No

6 Work Types Select all that apply but no more than allowed by job and filing type. "OT" required on all NB and Alteration 1 initial applications.

- 6A ☐ BL - Boiler PW1C ☐ FS - Fuel Storage PW1C ☐ PL - Plumbing PW1B
☐ FA - Fire Alarm ☐ FP - Fire Suppression ☐ SD - Standpipe PW1B
☐ FB - Fuel Burning PW1C ☒ MH - Mechanical ☐ SP - Sprinkler PW1B
- 6B ☐ EQ - Construction Equipment 15 ☒ OT/GC - General Construction 6D ☐ OT - Other, describe:
- 6E ☐ CC - Curb Cut 16
☐ OT/LAN - Landscape
6F ☐ OT/ANT - Antenna
☐ OT/BPP - Builders Pavement Plan 8D
☐ OT/FPP - Fire Protection Plan
☐ OT/MAR - Marquee 8E, 26B

7 Plans/Construction Documents Submitted *Plans are required for most applications.*

Are plans being submitted with this PW1? ☐ Yes ☐ No *If yes, do the plans include:* ☐ FO — Foundation ☐ EN — Energy Analysis

8 Additional Information

8A	WT	Cost	WT	Cost	WT	Cost	8B Is a building enlargement proposed?	8C Estimated Job Cost \$
							☐ No enlargement is proposed	8D Street Frontage: linear ft.
							☐ Yes 12, PD1	8E Height: ft. Width: ft.
							☐ Horizontal ☐ Vertical	8F Total Building Square Footage: sq. ft.
							Additional Construction Floor Area: sq. ft.	

9 Additional Considerations, Limitations or Restrictions

9A Review is requested under which building code? ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

Yes No		Yes No	
9B	☐ ☐	☐ ☐	
Alteration required to meet New Building requirements (28-101.4.5) <i>If yes, 13A-B</i>		Change in number of dwelling units	
☐ ☐ Alteration is a major change to exits		☐ ☐ Change in occupancy / use	
		☐ ☐ Change is inconsistent with current certificate of occupancy	
9C	☐ ☐	☐ ☐	
Façade Alteration		Change in number of stories	
☐ ☐ Adult Establishment <i>If yes, plot diagram (except DM)</i>		☐ ☐ Infill Zoning	
☐ ☐ Compensated Development (Inclusionary Housing)		☐ ☐ Loft Board	
☐ ☐ Low Income Housing (Inclusionary Housing)		☐ ☐ Quality Housing	
☐ ☐ Single Room Occupancy (SRO) Multiple Dwelling		☐ ☐ Site Safety Job/Project	
☐ ☐ Filing includes Lot Merger / Reapportionment <i>If yes, 17</i>		☐ ☐ Included in LMCCC	
9D	☐ ☐	☐ ☐	
Landmark		Filing to address violations (list #s—max. 5):	
☐ ☐ Little "E" or RD Site			
☐ ☐ Unmapped/CCO Street			
☐ ☐ Requesting legalization of work where no work without a permit violations have been issued			
☐ ☐ Other (please specify on line provided below):		☐ ☐ Filing to comply with Local Laws (list #s—max. 2)	
☐ ☐ CRFN(s) Restrictive Declaration / Easement (max. 4):			
☐ ☐ CRFN(s) Zoning Exhibit (I, II, III, etc. - max. 4):			
9E	☐ ☐		
BSA Calendar Numbers (max. 5):			
9F	☐ ☐		
CPC Calendar Numbers (max. 5):			
9G	☒ ☐		
Work includes lighting fixture and/or controls, installation or replacement. [ECC §404 and §505]			
9H	☐ ☐		
Work includes modular construction under New York State jurisdiction		9I High Rise Team tracking #:	
☐ ☐ Work includes modular construction under New York City jurisdiction			
9J	☐ ☐		
Structural peer review required per BC 16. <i>If yes, provide NYS P.E. license number:</i>			
9K	☐ ☐		
Work includes permanent removal of standpipe, sprinkler or fire suppression related systems			
9L	☐ ☐		
Work includes partial demolition as defined in AC §28-101.5, or the raising/moving of a building <i>If yes, 21B</i>			
☐ ☐ Structural stability affected by proposed work			

10 NYCECC Compliance *New York City Energy Conservation Code*

☐ To the best of my knowledge, belief and professional judgment, all work under this application is in compliance with the NYCECC*

Code Compliance Path (choose one): ☐ NYCECC ☐ ASHRAE

Energy Analysis (choose one): ☐ Tabular Analysis ☐ REScheck ☐ COMcheck ☐ Energy Modeling (EN1)

☐ To the best of my knowledge, belief and professional judgment, all work under this application is exempt from the NYCECC* in accordance with one of the following (choose one):

☐ The work is an alteration of a State or National historic building.

☐ The scope of the work is entirely in a "low-energy building" and is limited to the building envelope.

☐ The entire scope of work involves a temporary structure and/or one or more of the following work types:

FA, FP, SD, SP, FS, EQ, CC, OT/BPP, OT/FPP. Other work types are not exempt.

☐ This is a post-approval amendment and exempt under a prior edition of the energy code. See statement of exemption on attached drawings.

11 Job Description**11A Related DOB Job Numbers**

11B Primary application job no.

12 Zoning Characteristics

12A District(s)				12B Street legal width: _____ ft.			
Overlay(s)				Street Status: ☐ Public ☐ Private			
Special Dist.(s)				If the zoning lot includes multiple tax lots, list all tax lots here ►			
Map Number							

12C Proposed:	Use*	Zoning	Floor Area	District	FAR	Proposed Lot Details:	Proposed Yard Details:
			sq. ft.			Lot Type: ☐ Corner ☐ Interior ☐ Through	Check here if no yards: ☐ or
			sq. ft.			Lot Coverage _____ %	Front Yard _____ ft.
			sq. ft.			Lot Area _____ sq. ft.	Rear Yard _____ ft.
			sq. ft.			Lot Width _____ ft.	Rear Yard Equivalent _____ ft.
			sq. ft.			Proposed Other Details:	Side Yard 1 _____ ft.
			sq. ft.			Enclosed Parking? ☐ Yes ☐ No	Side Yard 2 _____ ft.
Proposed Totals			sq. ft.			If yes, no. of parking spaces: _____	
Existing Total			sq. ft.			Perimeter Wall Height _____ ft.	

*Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.

13 Building Characteristics *Main use/dominant occupancy per AC §28-101.5. **Use 2014 Code equivalents only. †Residential w/other use.

13A Primary structural system, choose one:				☐ Masonry	☐ Concrete (CIP)	☐ Concrete (Precast)
				☐ Wood	☐ Steel (Structural)	☐ Steel (Cold-Formed) ☐ Steel (Encased in Concrete)

13B	Existing	Proposed	13D Building Type: ☐ 1, 2, or 3 Family ☐ Other
Structural Occupancy/Risk Cat.			Mixed use building?† ☐ Yes ☐ No
Seismic Design Cat.			
13C Occupancy Classification*	☐ Yes ☐ No	☒ Yes**	13E
Construction Classification	☐ Yes ☐ No	☐ Yes ☐ No	Existing
Multiple Dwelling Classification			Proposed
			Building Height _____ ft.
			Building Stories _____
			Dwelling Units _____

13F Building was originally erected pursuant to which Building Code: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968
 The earliest Code with which this building or any part of it is required to comply: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

14 Fill Choose one.
☐ Not Applicable ☐ On-Site ☐ Off-Site ☐ Under 300 cubic yards
15 Construction Equipment

☐ Chute	☐ Sidewalk Shed	Construction Material: _____
☐ Fence	Size: _____ linear ft.	BSA/MEA Approval No. _____
☐ Supported Scaffold	☐ Other: _____	

16 Curb Cut Description
 Size of cut (with splays): _____ ft.
 Distance to nearest corner: _____ ft.
 to street: _____
17 Tax Lot Characteristics

Original tax lots being merged or reapportioned (if applicable):

--	--	--	--	--	--	--	--	--	--

Tentative tax lot numbers (new tax lots only):

--	--	--	--	--	--	--	--	--	--

18 Fire Protection Equipment

	Existing		Proposed	
	Yes	No	Yes	No
Fire Alarm	☐	☐	☐	☐
Fire Suppression	☐	☐	☐	☐
Sprinkler	☐	☐	☐	☐
Standpipe	☐	☐	☐	☐

19 Open Spaces

	Existing	Proposed		Existing	Proposed
Plaza Area	sq. ft.	sq. ft.	Arcade Area	sq. ft.	sq. ft.
Parking Area	sq. ft.	sq. ft.	Parking Spaces		
Loading Berths	sq. ft.	sq. ft.	Loading Berths		

20 Site Characteristics

Yes No

- ☐ Tidal Wetlands
☐ Coastal Erosion Hazard Area
☒ Fire District

Yes No

- ☐ Freshwater Wetlands
☐ Urban Renewal
☐ Flood Hazard Area *If yes, 20A*

20A Flood Hazard Area Information

Yes No

- ☐ Substantial improvement?
☐ Substantially damaged?
☐ Floodshields part of proposed work?

21 Demolition Details *Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).

Yes No

- 21A ☐ Demo. filing is for a secondary structure? *If yes, specify structure being demolished:*
☐ Mechanical means* from out of building? *If yes, mechanical means will demolish:* ☐ entire structure or ☐ part of structure
☐ Mechanical means* from within building? *If yes, describe equipment proposed:*
 21B ☐ Demolition work affects the exterior building envelope
☐ The scope of work involves raising/moving of a building

22 Asbestos Abatement Compliance Choose one.

- ☐ The scope of work requires related asbestos abatement as defined in the regulations of the NYC Department of Environmental Protection (DEP).
☐ The scope of the work is **not** an asbestos project as defined in the regulations of the NYC DEP. *DEP Control # is required.*

DEP ACP-5 Control No. _____

- ☒ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(b)) or is an alteration to a building constructed pursuant to plans submitted for approval on or after April 1, 1987, in accordance with § 28-106.1.

23 Sign

Purpose:	Type:	Estimated Cost: \$	23A Illuminated type:
☐ Advertising	☐ Illuminated 23A	Total Square Feet:	☐ Direct ☐ Flashing ☐ Indirect
☐ Non-Advertising	☐ Non-Illuminated	Height above Curb: ft. in.	Yes No
Location: ☐ Ground ☐ Roof 23B ☐ Wall	Height above Roof: ft. in.		☐ If sign projects beyond building line, is owner billed for annual permit? <i>If no, specify in 26B</i>
Yes No			23B ☐ Is roof sign tight, closed or solid?
☐ Is sign inside building line? <i>If no, sign projects by:</i> ft. in.			23C Sign wording. <i>If extensive, provide only key wording.</i>
☐ Designed for changeable copy? <i>If no, 23C</i>			
☐ Does an OAC have an interest in this sign or location? <i>If yes, 23G</i>			
☐ Within 900' and within view of an arterial highway? <i>If yes, 23D</i>			23D Distance from Arterial Highway: ft.
☐ Within 200' and within view of a park 1/2 acre or more? <i>If yes, 23E</i>			23E Distance from Park 1/2 acre or more: ft.
.....► <i>If answer is "yes" to either of the above two questions and this is an advertising sign, OAC sign number is required in section 23F</i>			23F OAC Sign Number:
			23G OAC Registration Number:

24 Comments Place additional comments on an AI-1 form. See Guide for proper incorporation of professional certification statements.

RESPECTFULLY REQUESTING PAA TO RESOLVE ENERGY AUDIT OBJECTIONS AS PER PLAN EXAMINER COMMENTS.

25 Applicant's Statements and Signatures *Required for all applications.*

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. I prepared or supervised the preparation of the construction documents and specifications herewith submitted and to the best of my knowledge and belief, the construction documents and work shown thereon comply with the provisions of the NYC Administrative Code and other applicable laws and rules. ☐ (check here if) except as set forth in the accompanying documents. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted. Cluster Development Statement (if applicable): I hereby state that all specifications relating to this job are identical to those previously filed under the group lead job number, except as specified herein.

For Initial New Building and Alteration 1 applications filed under the 2008 or 2014 NYC Building Code only: does this building qualify for high-rise designation? ☐ Yes ☒ No

Directive 14 Initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. ☐ Yes ☒ No

Name (print): Raffaele Castelli

Sign and Date:

P.E. / R.A. Seal (apply seal, then sign and date over seal)

26 Property Owner's Statements and Signatures

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. Furthermore, I understand that I am responsible for insuring that a final inspection be performed when the permitted work is complete, and that a satisfactory report of final inspection be submitted, along with all required submittal documents, so that the NYC Department of Buildings may issue a letter of completion or certificate of occupancy within the time prescribed by law.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

Yes No

☐ ☐ **Fee Exemption Request (Non-Profit Owned and Operated)**
In accordance with Administrative Code §28-112.1, Exception 1, I certify that the deed holder is a corporation or association organized and operated exclusively for the purposes indicated in such section, and that the property is used exclusively by such entity for such purposes. ★

☐ ☐ **Fee Exemption Request (NYCHA/HHC, NYC Agency, or Other Government Owned and Operated)** The building or any part thereof to be constructed, renovated, altered or demolished is owned and operated exclusively for the purposes of the NYC Agency, NYC Authority, NYS Agency, Federal Government or any other government entity. ★

☐ ☐ **Owner's Certifications Regarding Occupied Housing**
The site of the building to be altered or demolished, or the site of the new building to be constructed, contains one or more occupied dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.

☐ ☐ The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. If yes, select one of the following:

☐ The owner is not required to notify the New York State Homes and Community Renewal (NYSHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to NYSHCR regulations, does not require notification.

☐ The owner has notified the New York State Homes and Community Renewal (NYSHCR) of its intention to file such construction documents/apply for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such [filing/application].

Provide date NYSHCR notified: _____

☐ ☐ **Owner's Certification for Directive 14 Applications (if applicable)**
I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy and the work is not inconsistent with the current certificate of occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a satisfactory final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Notes for Section 26A: Section required if unit owner signed Section 26. Signature required for authorized representative of Condo or Co-Op board.

★ For fee waivers, please see the PW1 User Guide

Owner ☐ Individual ☐ Partnership ☐ NYCHA / HHC

Type: ☒ Corporation ☐ Other Government ☐ NYC Agency

☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A

Is the deed holder a non-profit organization? ☐ Yes ☐ No

Name (please print): Bing Jing Gao

Relationship to Owner: MANAGING MEMBER

Business Name/Agency: BJ 1368 Ventures, LLC

Street Address: 140-15 Holly Ave, 5M

City: Flushing State: NY Zip: 11555

Telephone Number: (718) 986-6698 Fax:

E-Mail Address: MartynJHouse@gmail.com

Signature and Date ►

26A Condo/Co-Op Board *See note in bottom left corner of page.*

Name (please print):

Title:

Street Address:

City:

State:

Zip:

Telephone Number:

Fax:

E-Mail Address:

Signature and Date ►

26B Lessee Responsible for Annual Sign or Marquee Permit

Name (please print):

Relationship to Owner:

Business Name/Agency:

Street Address:

City:

State:

Zip:

Telephone Number:

Fax:

E-Mail Address:



**TR1: Technical Report
Statement of Responsibility**
This form must be typewritten

DEPT BLDGS Job No. 321758914
Scan Code ESHS2131831

1 Location Information *Required for all applications.*

House No(s) 350

Street Name Junius Street

Work on Floor(s) 001

2 Applicant Information *Required for all applications.*

Choose all that apply: ☐ Design Applicant 3A, 4A, 5 ☒ Special Inspections Applicant 3B-D, 6-9 ☒ Progress Inspections Applicant 4B-D, 6-9

Last Name Family

First Name Abbas

Middle Initial B

Business Name Walter T. Gorman, P.E., P.C.

Business Telephone (516) 327-0050

Business Address 99 Tulip Ave. - Suite 106

Business Fax (516) 327-4550

City Floral Park

State NY

Zip 11001

Mobile Telephone

License Type choose one: ☒ P.E. ☐ R.A. ☐ Other:

License Number 072779

Special Inspection
Agency Number 000268

3 Special Inspection Categories *Required for all applications, continued on page 2; ■ indicates report required.*

3A ← Identification of Requirement

Y	N	Special Inspections	Code/Section	3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
				Initial & Date	Initial & Date	Initial & Date
☐	☒	Structural Steel – Welding	BC 1704.3.1			
☐	☒	Structural Steel – Details	BC 1704.3.2			
☐	☒	Structural Steel – High Strength Bolting	BC 1704.3.3			
☐	☒	Structural Cold-Formed Steel	BC 1704.3.4			
☐	☒	Concrete – Cast-In-Place	BC 1704.4			
☐	☒	Concrete – Precast	BC 1704.4			
☐	☒	Concrete – Prestressed	BC 1704.4			
☐	☒	Masonry	BC 1704.5			
☐	☒	Wood – Installation of High-Load Diaphragms	BC 1704.6.1			
☐	☒	Wood – Installation of Metal-Plate-Connected Trusses	BC 1704.6.2			
☐	☒	Wood – Installation of Prefabricated I-Joists	BC 1704.6.3			
☐	☒	Subgrade Inspection	BC 1704.7.1			
☐	☒	Subsurface Conditions – Fill Placement & In-Place Density	BC 1704.7.2 BC 1704.7.3			
☐	☒	Subsurface Investigations (Borings/Test Pits)	TR4 BC 1704.7.4			
☐	☒	Deep Foundation Elements	TR5 BC 1704.8			
☐	☒	Helical Piles (BB # 2014-020)	TR5H BC 1704.8.5			
☐	☒	Vertical Masonry Foundation Elements	BC 1704.9			
☐	☒	Wall Panels, Curtain Walls, and Veneers	BC 1704.10			
☐	☒	Sprayed fire-resistant materials	BC 1704.11			
☐	☒	Mastic and Intumescent Fire-resistant Coatings	BC 1704.12			
☐	☒	Exterior Insulation and Finish Systems (EIFS)	BC 1704.13			
☐	☒	Alternative Materials - OTCR Buildings Bulletin #	BC 1704.14			
☐	☒	Smoke Control Systems	BC 1704.15			
☒	☐	Mechanical Systems	BC 1704.16	AP 9/25/18		
☐	☒	Fuel-Oil Storage and Fuel-Oil Piping Systems	BC 1704.17			
☐	☒	High-Pressure Steam Piping (Welding)	BC 1704.18			
☐	☒	High Temperature Hot Water Piping (Welding)	BC 1704.18			
☐	☒	High-Pressure Fuel-Gas Piping (Welding)	BC 1704.19			
☐	☒	Structural Stability – Existing Buildings	BC 1704.20.1			
☐	☒	Excavations—Sheeting, Shoring, and Bracing	BC 1704.20.2			
☐	☒	Underpinning	BC 1704.20.3 BC 1814			
☐	☒	Mechanical Demolition	BC 1704.20.4			

TR1: Technical Report Statement of Responsibility

This form must be typewritten

3 Special Inspection Categories (continued) Required for all applications, continued on page 2; ■ indicates report required.					
3A Identification of Requirement			3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N	Special Inspections	Code/Section	Initial & Date	Initial & Date
☐	☒	Raising and Moving of a Building	BC 1704.20.5		
☐	☒	Soil Percolation Test - Private On-Site Storm Water Drainage Disposal Systems, and Detention Facilities ■	BC 1704.21.1.2		
☐	☒	Private On-Site Storm Water Drainage Disposal Systems, and Detention Facilities Installation	BC 1704.21.2		
☐	☒	Individual On-Site Private Sewage Disposal Systems Installation	BC 1704.22		
☐	☒	Soil Percolation Test - Individual On-Site Private Sewage Disposal Systems ■	BC 1704.22		
☐	☒	Sprinkler Systems	BC 1704.23		
☐	☒	Standpipe Systems	BC 1704.24		
☐	☒	Heating Systems	BC 1704.25		
☐	☒	Chimneys	BC 1704.26		
☒	☐	Fire-resistant Penetrations and Joints	BC 1704.27	AR 9/25/18	
☐	☒	Aluminum Welding	BC 1704.28		
☐	☒	Flood Zone Compliance (attach FEMA elevation/dry floodproofing certificate where applicable)	BC 1704.29 BC G105		
☐	☒	Luminous Egress Path Markings ■ TR7	BC 1704.30 BC 1024.8		
☐	☒	Emergency and Standby Power Systems (Generators)	BC 1704.31		
☐	☒	Post-installed Anchors (BB# 2014-018, 2014-019)	BC 1704.32		
☐	☒	Seismic Isolation Systems	BC 1707.8		
☐	☒	Concrete Design Mix ■ TR3	BC 1905.3 BC 1913.5	Submit TR3 to complete this item	
☐	☒	Concrete Sampling and Testing ■ TR2	BC 1905.6 BC 1913.10	Submit TR2 to complete this item	

4 Progress Inspection Categories Required for all applications. ■ indicates report required.					
4A Identification of Requirement			4B Identification of Responsibilities	4C Certificate of Complete Inspections / Tests	4D Withdraw Responsibilities
Y	N	Progress Inspections	Code/Section	Initial & Date	Initial & Date
☐	☒	Preliminary	28-116.2.1, BC 110.2		
☐	☒	Footings and Foundation	BC 110.3.1		
☐	☒	Lowest Floor Elevation	BC 110.3.2		
☐	☒	Structural Wood Frame	BC 110.3.3		
☒	☐	Energy Code Compliance Inspections ■ TR8	BC 110.3.5	Submit TR8 to complete this item	
☒	☐	Fire-Resistance Rated Construction	BC 110.3.4	AR 9/25/18	
☐	☒	Public Assembly Emergency Lighting	28-116.2.2		
☐	☒	Final* Directive 14 of 1975, and 1 RCNY §101-10	28-116.2.4.2, BC 110.5		

* For column 4C, indicate date when the actual final inspection was performed

5 Design Applicant's Statements and Signatures P.E./R.A. responsible for plans, choose both below and sign/seal.	
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☐ I have identified all of the special inspections, progress inspections and tests required for compliance.

☒ I certify that the Special Inspection and Approved Agencies engaged by the owner to supervise the work specified above are acceptable. (BC 1704.1)

Name (please print)

Raffaele Castelli, AIA

Signature

Date



P.E. / R.A. Seal (apply seal, then sign and date over seal)

6 Owner's Statement and Signature for Progress/Special Inspector Required when inspection applicant identifies responsibilities.

PAGE 3

I have reviewed the information provided herein and, to the best of my knowledge and belief, attest to its accuracy. I approve the identification of the responsible inspector. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by a fine or imprisonment, or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

Name (print) Bing Jing Gao

Title Managing Member

Signature

Date

7 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

☒ For the **special inspections** indicated above in section 3, I certify that I am the principal/director of the special inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes in connection with special inspections as well as 1 RCNY 101-06 Rule, which specifies the qualifications required for each inspection and that this agency meets those qualifications for each and every special inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rule. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

☒ For the **progress inspections** indicated above in section 4, except energy code inspections on the TR8 form, and/or **concrete test items** indicated in section 3, I assume the responsibility and I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests on such forms and in such matter as the Department requires or requests. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Final Inspection:

☐ I will make final inspection of the construction work, including those inspections during its progress necessary to my certification upon final inspection that all work substantially conforms to approved construction documents and applicable laws and rules. I will confirm that the performance of progress inspections and other inspections has been documented before I report the work complete. As prescribed by 1 RCNY 101-10, I will perform the final inspection within 1 year from the expiration of the last valid permit of the work.

Upon completion of the work and within 30 days of my final inspection, I will file a certification attesting to the fact that all work was performed and completed in accordance with the approved construction documents, laws and rules, except as reported otherwise.

☐ I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from expiration of the permit may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both, as well as the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

☐ Change of Applicant: I am a newly designated individual responsible for the items specified herein and I hereby state that:

☐ None of the inspections tests indicated herein have been performed to date by the previously designated individual.

☐ Some of the inspections tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Abbas Family, PE

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

8 Inspection Applicant's Certification of Partial Completion

☐ I have completed the items specified herein and certify that all work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations, except as indicated in the attached report.

☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of special/progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

9 Inspection Applicant's Certification of Full Completion

All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)



**TR8: Technical Report
Statement of Responsibility for
Energy Code Progress Inspections**

This form must be typewritten



1 Location Information *Required for all applications.*

House No(s) 350

Street Name Junius Street

Work on Floor(s) 001

2 Applicant Information *Required for all applications.*

Choose all that apply: ☐ Design Applicant 3A, 4 ☒ Progress Inspections Applicant 3B-D, 5-6

Last Name Family

First Name Abbas

Middle Initial

Business Name Walter T. Gorman, P.E., P.C.

Business Telephone (516) 327-0050

Business Address 99 Tulip Ave., Suite 106

Business Fax (516) 327-4550

City Floral Park

State NY

Zip 11001

Mobile Telephone

License Type choose one: ☒ P.E. ☐ R.A.

License Number 072779

3 Energy Code Progress Inspection *Required for applications where Energy Code Compliance Progress Inspection is marked Yes on TR1*

3A Identification of Requirement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N Progress Inspections	Table Reference in 1RCNY §5000-01(h) (1) and (2)	Initial & Date	Initial & Date
☐	☒ Protection of exposed foundation insulation	(IA1), (IIA1)		
☐	☒ Insulation placement and R values	(IA2), (IIA2)		
☐	☒ Fenestration u-factor and product rating	(IA3), (IIA3)		
☐	☒ Fenestration air leakage	(IA4), (IIA4)		
☐	☒ Fenestration areas	(IA5), (IIA5)		
☐	☒ Air sealing and insulation — visual	(IA6), (IIA6)		
☐	☒ Air sealing and insulation — testing	(IA7), (IIA7)		
☐	☒ Loading deck weather seals	(IIA8)		
☐	☒ Vestibules	(IIA9)		
☐	☒ Fireplaces	(IB1), (IIB1)		
☐	☒ Shutoff dampers	(IB2), (IIB2)		
☒	☐ HVAC and service water heating equipment	(IB3), (IIB3)	AE 9/25/18	
☒	☐ HVAC and service water heating system controls	(IB4), (IIB4)	AE 9/25/18	
☒	☐ HVAC insulation and sealing	(IB5), (IIB5)	AE 9/25/18	
☒	☐ Duct leakage testing	(IB6), (IIB6)	AE 9/25/18	
☐	☒ Electrical energy consumption	(IC1), (IIC1)		
☐	☒ Lighting in dwelling units	(IIC2)		
☒	☐ Interior lighting power	(IC2), (IIC3)	AE 9/25/18	
☐	☒ Exterior lighting power	(IIC4)		
☒	☐ Lighting controls	(IIC5)	AE 9/25/18	
☐	☒ Electrical motors	(IIC6)		
☒	☐ Maintenance information	(ID1), (IID1)	AE 9/25/18	
☐	☒ Permanent certificate	(ID2)		
☐	☒ Solar Ready Requirements	(ID3)		

* For column 3C, indicate date when the actual final inspection was performed.

4 Design Applicant's Statements and Signatures *P.E./R.A. responsible for plans must sign and seal.*

I have identified herein all of the progress inspections, and commissioning required for compliance and determined whether commissioning is required.

Commissioning is required for applications where C408 or ASHRAE 90.1 Section 6.7.2.4 requires commissioning. Check one:

- ☐ This project requires commissioning and a preliminary commissioning report certification will be provide prior to sign-off.
- ☒ This project does not require commissioning.

Name (please print)

Raffaele Castelli, AIA

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

5 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☒ For the **progress inspections** indicated above in section 3 and identified by me for responsibility, I certify that I am the principal/director of the progress inspection agency accepting responsibility for conducting the inspections as identified in section 3B. I further certify that I have read the applicable sections of the New York City Construction Codes and 1 RCNY 5000-01 in connection with progress inspections as well as 1 RCNY 101-07, which specifies the qualifications required for each progress inspector, and that this agency meets those qualifications for each and every progress inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rules. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:

- ☐ None of the inspection items indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the inspection items indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Abbas Family

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

6 Inspection Applicant's Certification of Completion

- ☐ I have completed the items specified herein and certify the following (check one only):

- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations.
- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)