



TR1: Technical Report Statement of Responsibility

This form must be typewritten



1 Location Information Required for all applications.

House No(s) 18 Street Name PROSPECT PLACE

Work on Floor(s) BAS,001 & 003

2 Applicant Information Required for all applications.

Choose all that apply: ☒ Design Applicant 3A, 4A, 5 ☐ Special Inspections Applicant 3B-D, 6-8 ☐ Progress Inspections Applicant 4B-D, 6-8
☐ Testing Lab Applicant 3B-3D, 6-8

Last Name HATHEWAY

First Name JOHN

Middle Initial H

Business Name JOHN H. HATHEWAY, JR., ARCHITECT

Business Telephone (718)855-4414

Business Address 114 CLINTON STREET #1H

Business Fax (718)855-8680

City BROOKLYN

State NY

Zip 11201

Mobile Telephone

License Type choose one: ☐ P.E. ☒ R.A. ☐ Other:

License Number 16631

3 Special Inspection Items Required for all applications, continued on page 2; ■ indicates report required.

3A Identification of Requirement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N Special Inspections	Code/Section	Initial & Date	Initial & Date
☐	☒ Flood Zone Compliance	BC G105		
☐	☒ Fire Alarm Test	BC 907, BC 1704.13		
☐	☒ Photoluminescent Exit Path Markings ■ TR7	BC 1026.11		
☐	☒ Emergency Power Systems (Generators)	BC 1704.13, BC 2702		
☐	☒ Structural Steel - Welding	BC 1704.3.1		
☐	☒ Structural Steel - Erection & Bolting	BC 1704.3.2, BC 1704.3.3		
☐	☒ Structural Cold-Formed Steel	BC 1704.3.4		
☐	☒ Concrete - Cast-In-Place	BC 1704.4		
☐	☒ Concrete - Precast	BC 1704.4		
☐	☒ Concrete - Prestressed	BC 1704.4		
☐	☒ Concrete Test Cylinders* ■ TR2	BC 1905.6		
☐	☒ Concrete Design Mix* ■ TR3	BC 1905.3		
☐	☒ Masonry	BC 1704.5		
☐	☒ Wood - Off-Site fabrication of Structural Elements	BC 1704.6		
☐	☒ Wood - Installation of High-Load Diaphragms	BC 1704.6.1		
☐	☒ Wood - Installation of Metal-Plate-Connected Trusses	BC 1704.6.3		
☐	☒ Wood - Installation of Prefabricated I-Joists	BC 1704.6.4		
☐	☒ Soils - Site Preparation	BC 1704.7.1		
☐	☒ Soils - Fill placement & In-Place Density	BC 1704.7.2, BC 1704.7.3		
☐	☒ Soils - Investigations (Borings/Test Pits) ■ TR4	BC 1704.7.4		
☐	☒ Pier Foundations & Drilled Pier Installation ■ TR5	BC 1704.8		
☐	☒ Pier Foundations	BC 1704.9		
☐	☒ Underpinning	BC 1704.9.1		
☐	☒ Wall Panels, Curtain Walls, and Veneers ■	BC 1704.10		
☐	☒ Sprayed Fire-Resistant Materials	BC 1704.11		
☐	☒ Exterior Insulation Finish Systems (EIFS)	BC 1704.12		
☐	☒ Smoke Control Systems	BC 1704.14		
☒	☐ Mechanical Systems	BC 1704.15		
☐	☒ Fuel-Oil Storage and Fuel-Oil Piping Systems	BC 1704.16		
☐	☒ High-Pressure Steam Piping (Welding)	BC 1704.17		
☐	☒ Fuel-Gas Piping (Welding)	BC 1704.18		
☒	☒ Structural Safety - Structural Stability	BC 1704.19		

* these tests must be performed by a licensed concrete testing lab and need not be performed by a special inspections agency

7 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☐ For the **special inspections** indicated above in section 3, I certify that I am the principal/director of the special inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes in connection with special inspections as well as 1 RCNY 101-06 Rule, which specifies the qualifications required for each inspection and that this agency meets those qualifications for each and every special inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rule. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ I certify that I am conducting **Small Building Inspections** and assume the responsibility for the special inspections specified in section 3 above. I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests. All inspection and test reports shall be signed and made available to the Department. I understand that a qualified special inspection agency is required for Soils Investigation, Pier and Pile installation, Underpinning of structures and Protection of the sides of excavations greater than 10 feet in depth. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ For the **progress inspections** indicated above in section 4, and/or **concrete test items** indicated in section 3, I assume the responsibility and I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests on such forms and in such matter as the Department requires or requests. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Final Inspection:

- ☐ I will make final inspection of the construction work, including those inspections during its progress necessary to my certification upon final inspection that all work substantially conforms to approved construction documents and applicable laws and rules. I will confirm that the performance of progress inspections and other inspections has been documented before I report the work complete.
- Upon completion of the work and after my final inspection, I will file a certification attesting to the fact that all work was performed and completed in accordance with the approved construction documents, laws and rules, except as reported otherwise.
- I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from expiration of the last valid permit may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:

- ☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

JOHN H. HATHEWAY JR.

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

8 Inspection Applicant's Certification of Completion

- ☐ I have completed the items specified herein and certify the following (check one only):

- ☐ All work performed has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations.
- ☐ All work performed has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of special/progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)