



PW1: Plan / Work Application

Must be typewritten.



1 Location Information Required for all applications.

House No(s) 808

Street Name **PENFIELD STREET**

Borough **BRONX**

Block 05118

Lot 00069

BIN 2072005

C.B. No. 212

Work on Floor(s) 001 to 002, **CEL**

Apt. / Condo No(s)

2 Applicant Information Required for all applications. Fax, mobile telephone and e-mail address are optional information.

Last Name **CARTER**

First Name **KARL**

Middle Initial **A**

Business Name **KARL ANTHONY ARCHITECT**

Business Telephone **(718) 857-3834**

Business Address **1112 FULTON STREET**

Business Fax **(718) 857-0445**

City **BROOKLYN**

State **NY**

Zip **11238**

Mobile Telephone

E-Mail **KCARTER@KARLANTHONY.NET**

License Number **026300**

Choose one: ☐ P.E. ☒ R.A. ☐ Sign Hanger ☐ R.L.A. ☐ Other:

3 Filing Representative Complete only if different from applicant specified in section 2. Fax, mobile phone, and e-mail are optional info.

Last Name

First Name

Middle Initial

Business Name

Business Telephone

Business Address

Business Fax

City

State

Zip

Mobile Telephone

E-Mail

Registration Number

4 Filing Status Required for all applications. Choose one and provide specified associated information.

☒ Initial Filing 5, 7, 11, 12A, 25-26

☐ Prior to Approval Actions 25-26

☐ Reinstatement 24-26

Choose only one:

☒ Standard Plan Examination or Review

☐ Amend Existing Filing 4A

☐ Withdrawal 26

☐ Professional Certification PC1, POC1

☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11

☐ Specified in 4A and 6

☐ Professional Certification of Objections A11

☐ Post Approval Amendment (PAA) 4A, 6, 24-25

☐ Entire Job

Will PAA affect filing fees? ☐ Yes ☐ No

4A Indicate existing document number affected by filing:

☐ New (Superseding) Applicant 4A, 25-26

5 Job/Project Types Choose one and provide specified associated information.

☐ Alteration Type 1 or Alteration Type 1 required to meet New Building requirements (28-101.4.5)

☐ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, 13C-E, & 14, 20, 22

☐ Full Demolition 6B, 8D, 9A & 9C-D, 9K, 13D-E, 14, 21A, 22

6A-E, 8B-C, 8F, 9-10, 12, 13C-F, 14, 18-20, 22 & PW1A, PD1

☐ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 20, 22

☒ Subdivision 9A, 9D, 12A-B

☐ Alteration Type 1, OT: "No Work" 8C, 8F, 9-10 & 12, 13C-F, 14, 18-19, 22, PW1A, PD1

☐ New Building 6A-E, 8F, 9A, 9C-K, 10, 12 & 13A-E, 14, 18-20, PW1A, PD1

☐ Condominium ☒ Improved 17

☐ Sign 5A, 6B-D, 9A, 9D, 22-23

5A Directive 14 acceptance requested?

☐ Yes ☒ No

6 Work Types Select all that apply but no more than allowed by job and filing type. "OT" required on all NB and Alteration 1 initial applications.

6A ☐ BL - Boiler PW1C

☐ FS - Fuel Storage PW1C

☐ PL - Plumbing PW1B

6E ☐ CC - Curb Cut 16

☐ FA - Fire Alarm

☐ FP - Fire Suppression

☐ SD - Standpipe PW1B

☐ OT/LAN - Landscape

☐ FB - Fuel Burning PW1C

☐ MH - Mechanical

☐ SP - Sprinkler PW1B

6F ☐ OT/ANT - Antenna

6B ☐ EQ - Construction Equipment 15

6C ☐ OT/GC - General Construction

6D ☐ OT - Other, describe:

☐ OT/BPP - Builders Pavement Plan 8D

☐ OT/FPP - Fire Protection Plan

☐ OT/MAR - Marquee 8E, 26B

7 Plans/Construction Documents Submitted *Plans are required for most applications.*Are plans being submitted with this PW1? ☒ Yes ☐ No *If yes, do the plans include:* ☐ FO — Foundation ☐ EN — Energy Analysis**8 Additional Information**

8A WT	Cost	WT	Cost	WT	Cost	8B Is a building enlargement proposed?	8C Estimated Job Cost \$
						☐ No enlargement is proposed	8D Street Frontage: linear ft.
						☐ Yes 12, PD1	8E Height: ft. Width: ft.
						☐ Horizontal ☐ Vertical	8F Total Building Square Footage: sq. ft.
						Additional Construction Floor Area: sq. ft.	

9 Additional Considerations, Limitations or Restrictions9A Review is requested under which building code? ☐ 2014 ☐ 2008 ☐ 1968 ☒ Prior to 1968

Yes	No	Yes	No
9B ☐ Alteration required to meet New Building requirements (28-101.4.5) <i>If yes, 13A-B</i>		☐ Change in number of dwelling units	
☐ Alteration is a major change to exits		☐ Change in occupancy / use	
9C ☐ Façade Alteration		☐ Change is inconsistent with current certificate of occupancy	
☐ Adult Establishment <i>If yes, plot diagram (except DM)</i>		☐ Change in number of stories	
☐ Compensated Development (Inclusionary Housing)		☐ Infill Zoning	
☐ Low Income Housing (Inclusionary Housing)		☐ Loft Board	
☐ Single Room Occupancy (SRO) Multiple Dwelling		☐ Quality Housing	
☒ Filing includes Lot Merger / Reapportionment <i>If yes, 17</i>		☐ Site Safety Job/Project	
		☐ Included in LMCCC	
9D ☒ Landmark		☐ Filing to address violations (list #s—max. 5):	
☒ Little "E" or RD Site			
☒ Unmapped/CCO Street			
☒ Requesting legalization of work where no work without a permit violations have been issued			
☐ Other (please specify on line provided below):		☐ Filing to comply with Local Laws (list #s—max. 2)	
☐ CRFN(s) Restrictive Declaration / Easement (max. 4):			
☐ CRFN(s) Zoning Exhibit (I, II, III, etc. - max. 4):			
9E ☐ BSA Calendar Numbers (max. 5):			
9F ☐ CPC Calendar Numbers (max. 5):			
9G ☐ Work includes lighting fixture and/or controls, installation or replacement. [ECC §404 and §505]			
9H ☐ Work includes modular construction under New York State jurisdiction		9I High Rise Team tracking #:	
☐ Work includes modular construction under New York City jurisdiction			
9J ☐ Structural peer review required per BC 16. <i>If yes, provide NYS P.E. license number:</i>			
9K ☐ Work includes permanent removal of standpipe, sprinkler or fire suppression related systems			
9L ☐ Work includes partial demolition as defined in AC §28-101.5, or the raising/moving of a building <i>If yes, 21B</i>			
☐ Structural stability affected by proposed work			

10 NYCECC Compliance *New York City Energy Conservation Code*

- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is in compliance with the NYCECC*
 Code Compliance Path (choose one): ☐ NYCECC ☐ ASHRAE
 Energy Analysis (choose one): ☐ Tabular Analysis ☐ REScheck ☐ COMcheck ☐ Energy Modeling (EN1)
- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is exempt from the NYCECC* in accordance with one of the following (choose one):
- ☐ The work is an alteration of a State or National historic building.
 - ☐ The scope of the work is entirely in a "low-energy building" and is limited to the building envelope.
 - ☐ The entire scope of work involves a temporary structure and/or one or more of the following work types: FA, FP, SD, SP, FS, EQ, CC, OT/BPP, OT/FPP. Other work types are not exempt.
 - ☐ This is a post-approval amendment and exempt under a prior edition of the energy code. See statement of exemption on attached drawings.

11 Job Description	11A Related DOB Job Numbers
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SUBDIVISION OF IMPROVED PROPERTY

11B Primary application job no.

12 Zoning Characteristics

12A District(s) <u>R4A</u> Overlay(s) Special Dist.(s) Map Number <u>2A</u>	12B Street legal width: <u>60</u> ft. Street Status: ☒ Public ☐ Private <i>If the zoning lot includes multiple tax lots, list all tax lots here ►</i>
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12C Proposed:	Use*	Zoning Floor Area	District	FAR	Proposed Lot Details:	Proposed Yard Details:
		sq. ft.			Lot Type: ☐ Corner ☐ Interior ☐ Through	Check here if no yards: ☐ or
		sq. ft.			Lot Coverage %	Front Yard ft.
		sq. ft.			Lot Area sq. ft.	Rear Yard ft.
		sq. ft.			Lot Width ft.	Rear Yard Equivalent ft.
		sq. ft.			Proposed Other Details: Enclosed Parking? ☐ Yes ☐ No	Side Yard 1 ft.
		sq. ft.				Side Yard 2 ft.
Proposed Totals		sq. ft.				
Existing Total		sq. ft.				

*Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.

13 Building Characteristics *Main use/dominant occupancy per AC §28-101.5. **Use 2014 Code equivalents only. †Residential w/other use.

13A Primary structural system, choose one: ☐ Masonry ☐ Concrete (CIP) ☐ Concrete (Precast) ☐ Wood ☐ Steel (Structural) ☐ Steel (Cold-Formed) ☐ Steel (Encased in Concrete)					
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13B	Existing	Proposed	13D Building Type: ☐ 1, 2, or 3 Family ☐ Other Mixed use building?† ☐ Yes ☐ No												
Structural Occupancy/Risk Cat.			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%;">13E</th> <th style="width: 15%;">Existing</th> <th style="width: 15%;">Proposed</th> </tr> <tr> <td>Building Height</td> <td>ft.</td> <td>ft.</td> </tr> <tr> <td>Building Stories</td> <td></td> <td></td> </tr> <tr> <td>Dwelling Units</td> <td></td> <td></td> </tr> </table>	13E	Existing	Proposed	Building Height	ft.	ft.	Building Stories			Dwelling Units		
13E	Existing	Proposed													
Building Height	ft.	ft.													
Building Stories															
Dwelling Units															
Seismic Design Cat.	2014 Code Designations?	2014 Code Designations?													
13C Occupancy Classification*	☐ Yes ☐ No	☒ Yes**													
Construction Classification	☐ Yes ☐ No	☐ Yes ☐ No													
Multiple Dwelling Classification															

13F Building was originally erected pursuant to which Building Code: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968
 The earliest Code with which this building or any part of it is required to comply: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

14 Fill Choose one.

☐ Not Applicable ☐ On-Site ☐ Off-Site ☐ Under 300 cubic yards

15 Construction Equipment	16 Curb Cut Description
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☐ Chute ☐ Sidewalk Shed ☐ Construction Material: _____
☐ Fence Size: _____ linear ft. BSA/MEA Approval No. _____
☐ Supported Scaffold ☐ Other: _____

 Size of cut (with splays): _____ ft.
 Distance to nearest corner: _____ ft.
 to street: _____

17 Tax Lot Characteristics	18 Fire Protection Equipment
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Original tax lots being merged or reapportioned (if applicable):

00069									
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Tentative tax lot numbers (new tax lots only):

00070									
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	Existing		Proposed	
	Yes	No	Yes	No
Fire Alarm	☐	☐	☐	☐
Fire Suppression	☐	☐	☐	☐
Sprinkler	☐	☐	☐	☐
Standpipe	☐	☐	☐	☐

19 Open Spaces

	Existing	Proposed		Existing	Proposed
Plaza Area	sq. ft.	sq. ft.	Arcade Area	sq. ft.	sq. ft.
Parking Area	sq. ft.	sq. ft.	Parking Spaces		
Loading Berths	sq. ft.	sq. ft.	Loading Berths		

20 Site Characteristics

Yes No

- ☐ ☐ Tidal Wetlands
☐ ☐ Coastal Erosion Hazard Area
☐ ☐ Fire District

Yes No

- ☐ ☐ Freshwater Wetlands
☐ ☐ Urban Renewal
☐ ☐ Flood Hazard Area *If yes, 20A*

20A Flood Hazard Area Information

Yes No

- ☐ ☐ Substantial improvement?
☐ ☐ Substantially damaged?
☐ ☐ Floodshields part of proposed work?

21 Demolition Details **Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).*

Yes No

- 21A ☐ ☐ Demo. filing is for a secondary structure? *If yes, specify structure being demolished:*
☐ ☐ Mechanical means* from out of building? *If yes, mechanical means will demolish:* ☐ entire structure or ☐ part of structure
☐ ☐ Mechanical means* from within building? *If yes, describe equipment proposed:*
21B ☐ ☐ Demolition work affects the exterior building envelope
☐ ☐ The scope of work involves raising/moving of a building

22 Asbestos Abatement Compliance *Choose one.*

- ☐ The scope of work requires related asbestos abatement as defined in the regulations of the NYC Department of Environmental Protection (DEP).
☐ The scope of the work is not an asbestos project as defined in the regulations of the NYC DEP. *DEP Control # is required.*
DEP ACP-5 Control No. _____
☐ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(b))
or is an alteration to a building constructed pursuant to plans submitted for approval on or after April 1, 1987, in accordance with § 28-106.1.

23 Sign

Purpose:

☐ Advertising☐ Non-Advertising

Type:

☐ Illuminated 23A☐ Non-Illuminated

Estimated Cost: \$

Total Square Feet:

Height above Curb: ft. in.

Height above Roof: ft. in.

Yes No

- ☐ ☐ Is sign inside building line? *If no, sign projects by:* ft. in.
☐ ☐ Designed for changeable copy? *If no, 23C*
☐ ☐ Does an OAC have an interest in this sign or location? *If yes, 23G*
☐ ☐ Within 900' and within view of an arterial highway? *If yes, 23D*
☐ ☐ Within 200' and within view of a park 1/2 acre or more? *If yes, 23E*

.....→ If answer is "yes" to either of the above two questions and this is an advertising sign, OAC sign number is required in section 23F

23A Illuminated type: ☐ Direct ☐ Flashing ☐ Indirect

Yes No

- ☐ ☐ If sign projects beyond building line, is owner billed for annual permit? *If no, specify in 26B*

23B ☐ ☐ Is roof sign tight, closed or solid?23C Sign wording. *If extensive, provide only key wording.*

23D Distance from Arterial Highway: ft.

23E Distance from Park 1/2 acre or more: ft.

23F OAC Sign Number:

23G OAC Registration Number:

24 Comments *Place additional comments on an AI-1 form. See Guide for proper incorporation of professional certification statements.*

25 Applicant's Statements and Signatures *Required for all applications.*

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this Code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. I prepared or supervised the preparation of the construction documents and specifications herewith submitted and to the best of my knowledge and belief, the construction documents and work shown thereon comply with the provisions of the Administrative Code and other applicable laws and rules, ☐ (←check here if) except as set forth in the accompanying documents. I acknowledge that I have read and understand all the provisions pertaining to this application and supplementary schedules submitted. **Cluster Development Statement** (if applicable): I hereby state that all specifications relating to this job are identical to those previously filed under the group lead job number, except as specified herein.

For Initial New Building and Alteration 1 applications filed under the 2008 or 2014 NYC Building Code only: does this building qualify for high-rise designation? ☐ Yes ☐ No

Directive 14 Initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. ☐ Yes ☐ No

Name (print): KEVIN BLAKE
 Sign and Date: 10/2/2008
 P.E. / R.A. Seal (apply seal, including signature over seal)

26 Property Owner's Statements and Signatures

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. Furthermore, I understand that I am responsible for insuring that a final inspection be performed when the permitted work is complete, and that a satisfactory report of final inspection be submitted, along with all required submittal documents, so that the NYC Department of Buildings may issue a letter of completion or certificate of occupancy within the time prescribed by law.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

Yes No

☐ ☐ **Fee Exemption Request (Non-Profit Owned and Operated)**
 In accordance with Administrative Code §28-112.1, Exception 1, I certify that the deed holder is a corporation or association organized and operated exclusively for the purposes indicated in such section, and that the property is used exclusively by such entity for such purposes. ★

☐ ☐ **Fee Exemption Request (NYCHA/HHC, NYC Agency, or Other Government Owned and Operated)** The building or any part thereof to be constructed, renovated, altered or demolished is owned and operated exclusively for the purposes of the NYC Agency, NYC Authority, NYS Agency, Federal Government or any other government entity. ★

☐ ☒ **Owner's Certifications Regarding Occupied Housing**
 The site of the building to be altered or demolished, or the site of the new building to be constructed, contains one or more occupied dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.

☐ ☒ **The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. If yes, select one of the following:**

☐ The owner is not required to notify the New York State Homes and Community Renewal (NYSHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to NYSHCR regulations, does not require notification.

☐ The owner has notified the New York State Homes and Community Renewal (NYSHCR) of its intention to file such construction documents/apply for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such [filing/application].

Provide date NYSHCR notified: _____

☐ ☐ **Owner's Certification for Directive 14 Applications (if applicable)**
 I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy and the work is not inconsistent with the current certificate of occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a satisfactory final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Notes for Section 26A: Section required if unit owner signed Section 26. Signature required for authorized representative of Condo or Co-Op board.

★ For fee waivers, please see the PW1 User Guide

Owner ☒ Individual ☐ Partnership ☐ NYCHA / HHC
 Type: ☐ Corporation ☐ Other Government ☐ NYC Agency
☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A
 Is the deed holder a non-profit organization? ☐ Yes ☐ No

Name (please print): KEVIN BLAKE

Relationship to Owner: SAME

Business Name/Agency: TRUE DEALS LLC

Street Address: 808 PENFIELD

City: BRONX State: NY Zip: 10470

Telephone Number: (646) 250-1506 Fax: _____

E-Mail Address: TRUEDEAL09@YAHOO.COM

Signature and Date 10/2/2008

26A Condo/Co-Op Board *See note in bottom left corner of page.*

Name (please print): _____

Title: _____

Street Address: _____

City: _____

State: _____

Zip: _____

Telephone Number: _____

Fax: _____

E-Mail Address: _____

Signature and Date 10/2/2008

26B Lessee Responsible for Annual Sign or Marquee Permit

Name (please print): _____

Relationship to Owner: _____

Business Name/Agency: _____

Street Address: _____

City: _____

State: _____

Zip: _____

Telephone Number: _____

Fax: _____

E-Mail Address: _____