



DEPT OF BLDGS140568197

Job Number



ES890672051

Scan Code

**L2: REQUESTS FOR OVERRIDES, REDUCTIONS, OR WAIVERS OF
CIVIL PENALTIES FOR WORK WITHOUT A PERMIT
AND STOP WORK ORDER VIOLATIONS**

FORM MUST BE TYPEWRITTEN

1 Job and Request Information (Required for all requests. A copy of the violation is required with the L2 submission.)

Job # 140568197

Violation # VW 090808C02CH03, 34330537N

Indicate reason for request here by checking the applicable box:

OVERRIDE REQUEST:

- ☒ **NRV:** No relationship to the violation; where a permit is being sought for work in a space that is not related to the Work Without a Permit (WWP) violation.

REDUCTION REQUEST:

- ☐ **REDT:** Work completed without benefit of a permit constituted only a percentage of the total work. (Section 4 Affidavit of Reduction is required.)

WAIVER REQUEST:

WWP Waiver Reasons:

- ☐ **BFP:** Where the owner is representing that he or she is a bona fide purchaser and the work was performed by the previous owner. (See AC §28-213.2). The following supporting documentation is required:
- Copy of the deed
 - A notarized affidavit or letter of no relationship, which substantiates the owner's claims. If the bona fide purchaser is other than an individual, the affidavit or letter must be submitted on the entity's letterhead and signed by the owner or an officer of the corporation.
 - The affidavit or letter must include: the name of the bona fide purchaser; the location of the property; a statement that the property was not received as a gift; and, a statement that there was no interest or relationship with the prior owner and the new owner is not acting in any way for the benefit of the prior owner
- ☐ **CPP:** Where the civil penalty was already fully paid. (Provide BIS Invoice #: _____
Or provide copy of front and back of cancelled check.)
- ☐ **DUPW:** For another WWP violation that remains open and that was issued for the same work and at the same location. (The initial violation shall require payment of the civil penalty. Provide BIS Invoice # for the penalty that was already paid: _____)
- ☐ **ECB:** Where an Environmental Control Board (ECB) violation for WWP has been dismissed whether on substantive or technical grounds.
- ☐ **EWG:** Emergency work performed by the NYC Department of Housing Preservation & Development (HPD) or other agency as directed by the Commissioner or work on unsafe buildings performed by HPD or other agency pursuant to a precept. (See AC §28-215.1).
- ☐ **EWP:** Emergency work performed without a permit, where an application for the work is filed with the Department within two business days after commencement of the work, except for emergency work described by Code EWG above. (See AC §28-105.4.1).
- ☐ **EXP1:** Where a fence, shed or scaffold or other temporary construction equipment was installed with a valid permit and the permit had expired. (See BC 105.8.2).
- ☐ **EXP2:** When permits (other than for temporary construction equipment) expired and there was no ongoing work.
- ☐ **GOV:** For Federal, New York State, NYC or other government-owned property, or for property owned by eligible public authorities.
- ☐ **TPT:** HPD third party transfers where a court issues a foreclosure judgment allowing the City to transfer title of a foreclosed property to a new owner. (See AC §11-412.1). Any civil penalties accrued before the closing date of the transfer must be waived. The new owner must provide a letter from HPD stating that there was a third party transfer and that penalties should be waived.

Stop Work Order (SWO) Waiver Reasons:

- ☐ **SWBC:** Where the Commissioner had determined that the violation should not have been issued for working against the SWO.
- ☐ **SWOE:** Where the ECB violation issued for violating the SWO was dismissed for any reason.

2 Location Information (Required for all requests)

House No(s) Street Name
464 AVENUE OF AMERICAS

Borough Block Lot BIN CB No.
MANHATTAN 575 1 1009562 102

Work on Floor(s) Apt/Condo No(s)
001

3 Owner Information (Required for all requests. Notarized signature by owner or authorized designee is required in Section 6.)

Last Name SHAPIRO	First Name CAROL	M.I.
Business Name EUGENE ELLIS REALTY LLC C/O BELL	Phone (973) 751-0400	E-mail Address SATRITTO@NMIMLAW.COM
Address 681 MAIN STREET BLDG 43	City BELL EVILLE NJ	Zip Code 07109

- 4 Affidavit of Reduction** (Only required if reduction is requested. Affidavit needs to be provided by PE or RA. If the reduction request relates to a Limited Alteration Application—LAA—then the affidavit may be provided by another licensee type, such as a licensed plumber.)

- being duly sworn, deposes and says:
1. This affidavit is submitted in support of the request indicated in Section 1 of this form (Job and Request Information).
 2. On _____ (date) at _____ (am / pm) I inspected the above-captioned premises to determine whether the work covered by the application was commenced without benefit of a New York City Department of Buildings Permit.
 3. This affidavit is being submitted to the New York City Department of Buildings within two business days of my inspection.
 4. My inspection revealed that the following work, (written description must be provided below with at least four (4) supporting photographs in order to be accepted for consideration), filed for in the application, was completed without benefit of a permit. (Photographs need to have been taken within two business days of inspection.)

Internal Use Only — Preliminary Borough Review

Building Classification _____ Reduction Amt \$ _____
 Name (please print) _____
 Signature _____ Date _____

5 PE/RA/Other Licensee Applicant Data (Only required if reduction is requested)

Last Name	First Name	M.I.	Name (please print)
Business Name	Phone		
Address			
City	State	Zip Code	
☐ PE	☐ RA	☐ Other	License No. _____
			Signature _____ Date _____

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

PE / RA / Other Seal (apply seal, then sign and date over seal)

6 Notarization

State of New York, County of Richmond
 Sworn to before me this 10/13/16 day of October, 2016
 Notary Public - State of Florida
 My Comm. Expires May 8, 2017
 Commission # FF 016249
 Bonded Through National Notary Assn.

Notary Signature

Owner or Authorized Designee Signature

Date

Internal Use Only

Borough Commissioner Review

☐ Approved ☐ Denied ☐ Reduction Amt \$ _____

Approval/Denial Reason:

Name (please print)

1st Level Signature

Date

2nd Level Review—Fiscal

☐ Approved ☐ Denied ☐ Reduction Amt \$ _____

Approval/Denial Reason:

Tracking #:

Name (please print)

2nd Level Signature

Date

MEMBER
 Eugene Ellis Real Estate LLC

[!\[\]\(cbe80b694ebd74fcfe136a095b608235_img.jpg\) CLICK HERE TO SIGN UP FOR BUILDINGS NEWS](#)

NYC Department of Buildings
Open DOB "Work Without a Permit" Violations

Page: 1

Premises: 462 6 AVENUE MANHATTAN
NUMBER

VW 090808C02CH03

BIN: 1009562 Block: 575 Lot: 1

FILE DATE

09/08/2008

If you have any questions please review these [Frequently Asked Questions](#), the [Glossary](#), or call the 311 Citizen Service Center by dialing 311 or (212) NEW YORK outside of New York City.


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NYC Department of Buildings

ECB Violation Details

Premises: 462 6 AVENUE MANHATTAN

Filed At: 462 6 AVENUE , MANHATTAN , NY 10011

BIN: 1009562 Block: 575 Lot: 1

Community Board: 102

ECB Violation Summary

VIOLATION RESOLVED

ECB Violation Number: 34700913N

Severity: CLASS - 2

Certification Status: CURE ACCEPTED

Penalty Balance Due: \$0.00

Hearing Status: CURED/IN-VIO

Respondent Information

Name: EUGENE ELLIS REAL ESTATE
 Mailing Address: 681 MAIN ST , BELLEVILLE , NJ 07109

Violation Details

Violation Date:	09/08/2008	Violation Type:	
Served Date:	09/08/2008	Inspection Unit:	MANHATTAN CONSTRUCTION
Infraction Codes	Section of Law	Standard Description	
201	28-105.1	WORK WITHOUT A PERMIT	

Specific Violation Condition(s) and Remedy:

WORK W/O A PERMIT NOTED AT ENTRANCE WEST ELEVATION EXP#1 SOUTH CORNERA/C UNIT INSTALLED IN
 LANDMARKED BLDG NOTED AT SOUTH ELEVATION PLEXI GLASS AWNING INSTALLED ON FACADE OF LAND MARKED
 BLDG REMEDY: OBTAIN PER

Issuing Inspector ID:

DOB Violation Number: 090808C02CH03

Issued as Aggravated Level: NO

Dept. of Buildings Compliance History and Events

Certification Status: CURE ACCEPTED Compliance On: 10/02/2008

A Certificate of Correction must be submitted to the Administrative Enforcement Unit (AEU) for all violations. A violation that is not dismissed by ECB will continue to remain ACTIVE or "open" on DOB records until acceptable proof is submitted to the AEU, even if you have paid the penalty imposed by ECB.

ECB Hearing Information

Scheduled Hearing Date/Time: 10/30/2008 8:30 Hearing Status: CURED/IN-VIO

ECB Penalty Information

Penalty Imposed:	\$0.00		
Adjustments:	\$0.00	Amount Paid:	\$0.00
Penalty Balance Due:	\$0.00		

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NYC Department of Buildings
ECB Violation Details

Premises: 462 6 AVENUE MANHATTAN
BIN: 1009562 Block: 575 Lot: 1

Filed At: 464 6 AVENUE , MANHATTAN , NY 10011
Community Board: 102

ECB Violation Summary

VIOLATION OPEN

ECB Violation Number: **34330537N**

Severity: **NON-HAZARDOUS**

Certification Status: CERTIFICATE DISAPPROVED

Penalty Balance Due: \$0.00

Hearing Status: IN VIOLATION

Respondent Information

Name: EUGENE ELLIS REAL ESTATE
Mailing Address: 681 MAIN ST , BELLEVILLE , NJ 07109

Violation Details

Violation Date:	06/28/2002	Violation Type:	SIGNS
Served Date:	06/28/2002	Inspection Unit:	
Infraction Codes	Section of Law	Standard Description	
K1A	27-147	OUTDOOR SIGN ON DISPLAY STRUCTURE WITHOUT PERMIT	

Specific Violation Condition(s) and Remedy:

(1) HAVING INSTALLED AWNING W/O A PERMIT. (2) HAVING INSTALLED A SIGNW/O A PERMIT. (3) HAVING AN ILLUMINATED SIGN W/O A CURRENT PERMIT. REMEDY : REMOVE ILLEGAL WORK

Issuing Inspector ID:

DOB Violation Number:
062802SGSTFPM10

Issued as Aggravated Level: NO

Dept. of Buildings Compliance History and Events

Certification Status:	CERTIFICATE DISAPPROVED	Compliance On:
Certification Submission Date:	12/19/2013	Certification Disapproval Date: 02/05/2014

A Certificate of Correction must be submitted to the Administrative Enforcement Unit (AEU) for all violations. A violation that is not dismissed by ECB will continue to remain ACTIVE or "open" on DOB records until acceptable proof is submitted to the AEU, even if you have paid the penalty imposed by ECB.

ECB Hearing Information

Scheduled Hearing Date/Time:	10/17/2002 8:30	Hearing Status:	IN VIOLATION
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ECB Penalty Information

Penalty Imposed:	\$800.00	Amount Paid:	\$800.00
Adjustments:	\$0.00		
Penalty Balance Due:	\$0.00		

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