



PW1: Plan/Work Application

Application must be typewritten

DEPT BLDGS Job No. 123289672



Scan Code ESHS5163256

1 LOCATION INFORMATION (required for all applications)

House No(s) 40	Street Name WEST 4TH STREET			
Borough MANHATTAN	Block 535	Lot 1	BIN 1077346	CB No. 102
Work on Floor(s) SC	Apt./Condo No(s)			

2 APPLICANT INFORMATION (required for all applications; fax, mobile phone and email address are optional information)

Last Name STEHN	First Name JOHN	Middle Initial L	
Business Name VANDERWEIL ENGINEERS	Business Telephone (646) 312-0596		
Business Address 1001 AVENUE OF THE AMERICAS	Business Fax		
City NEW YORK	State NY	Zip 10018	Mobile Telephone
Email JSTEHN@VANDERWEIL.COM	License Number 076086		
Choose one: ☒ P.E. ☐ R.A. ☐ Sign Hanger ☐ R.L.A. ☐ Other:			

3 FILING REPRESENTATIVE (complete only if different from applicant specified in Section 2; fax, mobile phone, and email are optional info)

Last Name	First Name	Middle Initial	
Business Name	Business Telephone		
Business Address	Business Fax		
City	State	Zip	Mobile Telephone
Email	Registration Number		

4 FILING STATUS (required for all applications; choose one and provide specified associated information)

☐ Initial Filing 5, 7, 11, 12A, 25-26 Choose one only: ☐ Standard Plan Examination or Review ☐ Professional Certification PC1, POC1 ☐ Professional Certification of Objections A11	☐ Prior to Approval Actions 25-26 ☐ Amend Existing Filing 4A ☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11 ☐ Post Approval Amendment (PAA) 4A, 6, 24-25 Will PAA affect filing fees? ☐ YES ☐ NO ☐ New (Superseding) Applicant 4A, 25-26	☒ Reinstatement 24-26 ☐ Withdrawal 26 ☐ Specified in 4A and 6 ☐ Entire Job 4A Indicate existing document number affected by filing:
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5 JOB/PROJECT TYPES (choose one and provide specified associated information)

☐ Alteration Type 1 or Alteration Type 1 required to meet New Building requirements (28-101.4.6) 6A-E, 8B-C, 8F, 9-10, 12, 13C-F, 14, 18-20, 22 & PW1A, PD1	☒ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, 13C-E, 14, 20, 22	☐ Full Demolition 6B, 8D, 9A & 9C-D, 9K, 9M, 13D-E, 14, 21A, 22
☐ Alteration Type 1, OT: 'No Work' 8C, 8F, 9-10 & 12, 13C-F, 14, 18-19, 22, PW1A, PD1	☐ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 20, 22	☐ Subdivision 9A, 9D, 12A-B
	☐ New Building 6A-E, 8F, 9A, 9C-K, 9M, 10, 12 & 13A-E, 14, 18-20, PW1A, PD1	☐ Condominium ☐ Improved 17
	☐ Sign 5A, 6B-D, 9A, 9D, 22-23	5A Directive 14 acceptance requested? ☐ YES ☐ NO

6 WORK TYPES (select all that apply but no more than allowed by job & filing type; 'OT' required on all NB and Alteration 1 initial applications)

6A ☐ BL - Boiler PW1C ☐ FA - Fire Alarm ☐ FB - Fuel Burning PW1C	☐ FS - Fuel Storage PW1C ☐ FP - Fire Suppression ☒ MH - Mechanical	☐ PL - Plumbing PW1B ☐ SD - Standpipe PW1B ☐ SP - Sprinkler PW1B	6E ☐ CC - Curb Cut 16 ☐ OT/LAN - Landscape
6B ☐ EQ - Construction ☐ Equipment 15	6C ☐ OT/GC - General ☐ Construction	6D ☐ OT - Other, describe:	6F ☐ OT/ANT - Antenna ☐ OT/BPP - Builders Pavement Plan 8D ☐ OT/FPP - Fire Protection Plan ☐ OT/MAR - Marquee 8E, 26B

7 PLANS/CONSTRUCTION DOCUMENTS SUBMITTED (plans are required for most applications)

 Are plans being submitted with this PW1? ☐ YES ☐ NO If YES, do the plans include: ☐ FO - Foundation ☐ EN - Energy Analysis

8 ADDITIONAL INFORMATION

8A	WT	Cost	WT	Cost	WT	Cost	8B	Is a building enlargement proposed?	8C	Estimated Job Cost \$
								☐ NO enlargement is proposed	8D	Street Frontage: linear ft.
								☐ YES 12, PD1	8E	Height: ft. Width: ft.
								☐ Horizontal ☐ Vertical	8F	Total Building Square Footage: sq. ft.
								Additional Construction Floor Area: sq. ft.		

9 ADDITIONAL CONSIDERATIONS, LIMITATIONS OR RESTRICTIONS

 9A Review is requested under which Building Code? ☐ 2022 ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

YES NO 9B ☐ ☐ Alteration required to meet New Building requirements (28-101.4.5) If yes, 13A-B ☐ ☐ Alteration is a major change to exits		YES NO ☐ ☐ Change in number of dwelling units ☐ ☐ Change in Occupancy/Use ☐ ☐ Change is inconsistent with current Certificate of Occupancy ☐ ☐ Change in number of stories	
9C ☐ ☐ Façade Alteration ☐ ☐ Adult Establishment If YES, plot diagram (except DM) ☐ ☐ Compensated Development (Inclusionary Housing) ☐ ☐ Low Income Housing (Inclusionary Housing) ☐ ☐ Single Room Occupancy (SRO) Multiple Dwelling ☐ ☐ Filing Includes Lot Merger/Reapportionment If YES, 17		☐ ☐ Infill Zoning ☐ ☐ Loft Board YES NO Work Includes: ☐ ☐ Quality Housing ☐ ☐ Prefab wood I-joists ☐ ☐ Site Safety Job/Project ☐ ☐ Structural cold-formed steel ☐ ☐ Included in LMCCC ☐ ☐ Open-web steel joists	
9D ☐ ☐ Landmark ☐ ☐ Little 'E' or RD Site ☐ ☐ Unmapped/CCO Street ☐ ☐ Requesting legalization of work where no work without a permit violations have been issued ☐ ☐ Other (please specify on line provided below): ☐ ☐ CRFN(s) Restrictive Declaration/Easement (max. 4): ☐ ☐ CRFN(s) Zoning Exhibit (I, II, III, etc. - max. 4)		☐ ☐ Filing to address violations (list #s—max. 5): ☐ ☐ Filing to comply with Local Laws (list #s—max. 2) LL Number Year	

 9E ☐ ☐ BSA Calendar Numbers (max. 5):

 9F ☐ ☐ CPC Calendar Numbers (max. 5):

 9G ☐ ☐ Work includes lighting fixture and/or controls, installation or replacement. [ECC §404 and §505]

9H ☐ ☐ Work includes modular construction under NYS jurisdiction ☐ ☐ Work includes modular construction under NYC jurisdiction	9I High Rise Team tracking #:
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 9J ☐ ☐ Structural peer review required per BC 16. If YES, provide NYS P.E. license number:

 9K ☐ ☐ Work includes permanent removal of standpipe, sprinkler or fire suppression related systems

 9L ☐ ☐ Work includes partial demolition as defined in AC §28-101.5, or the raising/moving of a building. If YES, 21B
☐ ☐ Structural stability affected by proposed work

 9M ☐ ☐ Work involves or will result in:
 1) an amount of soil disturbance greater than or equal to 20,000 square feet; or
 2) the creation of 5,000 square feet or more of impervious surface.
☐ ☐ Work is part of a larger common plan or development or sale that involves or will result in:
 1) an amount of soil disturbance greater than or equal to 20,000 square feet; or
 2) the creation of 5,000 square feet or more of impervious surface.

10 NYCECC COMPLIANCE (New York City Energy Conservation Code)

- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is in compliance with the NYCECC*
Code Compliance Path (choose one): ☐ NYCECC ☐ ASHRAE
Energy Analysis (choose one): ☐ Tabular Analysis ☐ REScheck ☐ COMcheck ☐ Energy Modeling (EN1)
- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is exempt from the NYCECC* in accordance with one of the following (choose one):
☐ The work is an alteration of a State or National historic building.
☐ The scope of the work is entirely in a "low-energy building" and is limited to the building envelope.
☐ The entire scope of work involves a temporary structure and/or one or more of the following work types: FA, FP, SD, SP, FS, EQ, CC, OT/BPP, OT/FPP. Other work types are not exempt.
☐ This is a post-approval amendment and exempt under a prior edition of the Energy Code. See statement of exemption on attached drawings.

11 JOB DESCRIPTION

SEE ITEM 24.

11A RELATED DOB JOB NUMBERS

11B Primary application Job No.

12 ZONING CHARACTERISTICS

12A District(s)				12B Street legal width: _____ ft.			
Overlay(s)				Street Status: ☐ Public ☐ Private			
Special Dist. (s)				If the zoning lot includes multiple tax lots, list all tax lots here ►			
Map Number							

12C Proposed: Use*	Zoning Floor Area	District	FAR	Proposed Lot Details:	Proposed Yard Details:
	sq. ft.			Lot Type: ☐ Corner ☐ Interior ☐ Through	Check here if no yards: or
	sq. ft.			Lot Coverage _____ %	Front Yard _____ ft.
	sq. ft.			Lot Area _____ sq. ft.	Rear Yard _____ ft.
	sq. ft.			Lot Width _____ ft.	Rear Yard Equivalent _____ ft.
	sq. ft.			Proposed Other Details:	Side Yard 1 _____ ft.
	sq. ft.			Enclosed Parking? ☐ YES ☐ NO	Side Yard 2 _____ ft.
Proposed Totals	sq. ft.			If YES, # of parking spaces: _____	
Existing Total	sq. ft.			Perimeter Wall Height _____ ft.	

*Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.

13 BUILDING CHARACTERISTICS *Main use/dominant occupancy per AC §28-101.5 **Use 2022 Code equivalents †Residential w/other use

13A Primary structural system, choose one: ☐ Masonry ☐ Concrete (CIP) ☐ Concrete (Precast)
☐ Wood ☐ Steel (Structural) ☐ Steel (Cold-Formed) ☐ Steel (Encased in Concrete)

13B	Existing	Proposed	13D Building Type: ☐ 1, 2, or 3 Family ☐ Other
Structural Occupancy/Risk Cat.			Mixed-use building?† ☐ YES ☐ NO
Seismic Design Cat.			
13C Occupancy Classification*	☐ YES ☐ NO	☒ YES**	13E
Construction Classification	☐ YES ☐ NO	☐ YES ☐ NO	Building Height _____ ft.
Multiple Dwelling Classification			Building Stories _____
			Dwelling Units _____

13F Building was originally erected pursuant to which Building Code: ☐ 2022 ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968
The earliest Code with which this building or any part of it is required to comply: ☐ 2022 ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

14 FILL (choose one)

- ☐ Not Applicable ☐ On-Site ☐ Off-Site ☐ Under 300 cubic yards

15 CONSTRUCTION EQUIPMENT

- ☐ Chute ☐ Sidewalk Shed ☐ Construction Material: _____
☐ Fence ☐ Size: _____ linear ft. ☐ BSA/MEA Approval No. _____
☐ Supported Scaffold ☐ Other: _____

16 CURB CUT DESCRIPTION

Size of cut (with splays): _____ ft.
Distance to nearest corner: _____ ft.
to street: _____

17 TAX LOT CHARACTERISTICS

Original tax lots being merged or reapportioned (if applicable):

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Tentative tax lot numbers (new tax lots only):

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18 FIRE PROTECTION EQUIPMENT

	Existing		Proposed	
	YES	NO	YES	NO
Fire Alarm	☐	☐	☐	☐
Fire Suppression	☐	☐	☐	☐
Sprinkler	☐	☐	☐	☐
Standpipe	☐	☐	☐	☐

19 OPEN SPACES

	Existing	Proposed		Existing	Proposed
Plaza Area	sq. ft.	sq. ft.	Arcade Area	sq. ft.	sq. ft.
Parking Area	sq. ft.	sq. ft.	Parking Spaces		
Loading Berths	sq. ft.	sq. ft.	Loading Berths		

20 SITE CHARACTERISTICS

YES	NO	YES	NO
☐	☐	☐	☐
☐	☐	☐	☐
☐	☐	☐	☐

20A FLOOD HAZARD AREA INFORMATION

YES	NO
☐	☐
☐	☐
☐	☐

21 DEMOLITION DETAILS *Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).

21A ☐ YES ☐ NO Demo. filing is for a secondary structure? If YES, specify structure being demolished: _____

☐ Mechanical means* from out of building? If YES, mechanical means will demolish: ☐ entire structure or ☐ part of structure

☐ Mechanical means* from within building? If YES, describe equipment proposed: _____

21B ☐ Demolition work affects the exterior building envelope

☐ The scope of work involves raising/moving of a building

22 ASBESTOS ABATEMENT COMPLIANCE (choose one)

- ☐ The scope of work requires related asbestos abatement as defined in NYC Department of Environmental Protection (DEP) regulations.
- ☐ The scope of the work is not an asbestos project as defined in the regulations of the NYC DEP. DEP Control # is required.
DEP ACP-5 Control No. _____
- ☐ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(b)) or is an alteration to a building constructed pursuant to plans submitted for approval on or after April 1, 1987, in accordance with § 28-106.1.

23 SIGN

Purpose: ☐ Advertising ☐ Non-Advertising

Type: ☐ Illuminated 23A ☐ Non-Illuminated

Location: ☐ Ground ☐ Roof 23B ☐ Wall

Estimated Cost: \$ _____

Total Square Feet: _____

Height above Curb: _____ ft. in.

Height above Roof: _____ ft. in.

YES NO

☐ Is sign inside building line? If 'NO', sign projects by: _____ ft. in.

☐ Designed for changeable copy? If no, 23C

☐ Does an OAC have an interest in this sign or location? If yes, 23G

☐ Within 900' and within view of an arterial highway? If yes, 23D

☐ Within 200' and within view of a park 1/2 acre or more? If yes, 23E

23A Illuminated type: ☐ Direct ☐ Flashing ☐ Indirect

YES NO

☐ If sign projects beyond building line, is owner billed for annual permit? If no, specify in 26B

23B ☐ Is roof sign tight, closed or solid?

23C Sign wording. If extensive, provide only key wording.

23D Distance from Arterial Highway: _____ ft.

23E Distance from Park 1/2 acre or more: _____ ft.

23F OAC Sign Number: _____

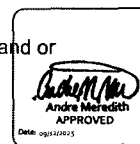
23G OAC Registration Number: _____

24 COMMENTS (place additional comments on an AI-1 form; see Guide for proper incorporation of professional certification statements)

RESPECTFULLY REQUESTING REINSTATEMENT FOR BIS#123289672 FOR SIGN-OFF PURPOSES ONLY. NO WORK TO BE PERFORMED.

OK to reinstate for sign-off purposes only, no addition work to be done. No scope of work change and or PAAs allowed except for minor changes to clerical forms

Reinstatement Fee per AC §28-112.2 = Exempt



25 APPLICANT'S STATEMENTS & SIGNATURES (required for all applications)

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a City employee, or for a City employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of any agency, I may be barred from filing further applications or documents with the Department. I prepared or supervised the preparation of the construction documents and specifications herewith submitted and to the best of my knowledge and belief, the construction documents and work shown thereon comply with the provisions of the NYC Administrative Code and other applicable laws and rules. ☐ (check here if) except as set forth in the accompanying documents, I acknowledge that I have read and complied with the instructions pertaining to this application and supplementary schedules submitted. Cluster Development Statement (if applicable): I hereby state that all submitted documents for this job are identical to those previously filed under the group lead job number, except as specified herein.

For Initial New Building and Alteration 1 applications filed under the 2022 NYC Building Code only: does this building qualify for high-rise designation? ☐ YES ☐ NO

Directive 14 Initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. ☐ YES ☐ NO

Name (print): J. ROA
Sign & Date: 9/3/2025
P.E./R.A. Seal (apply seal, then sign and date over seal)

26 PROPERTY OWNER'S STATEMENTS & SIGNATURES

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a City employee, or for a City employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of any agency, I may be barred from filing further applications or documents with the Department. Furthermore, I understand that I am responsible for insuring that a final inspection be performed when the permitted work is complete, and that a satisfactory report of final inspection be submitted, along with all required submittal documents, so that the NYC Department of Buildings may issue a Letter of Completion or Certificate of Occupancy within the time prescribed by law.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

YES NO

- ☒ ☐ Fee Exemption Request (Non-Profit Owned and Operated)
In accordance with Administrative Code §28-112.1, Exception 1, I certify that the deed holder is a corporation or association organized and operated exclusively for the purposes indicated in such section, and that the property is used exclusively by such entity for such purposes. *
- ☐ ☒ Fee Exemption Request (NYCHA/HHC, NYC Agency, or Other Government Owned and Operated)
The building or any part thereof to be constructed, renovated, altered or demolished is owned and operated exclusively for the purposes of the NYC Agency, NYC Authority, NYS Agency, Federal Government or any other government entity. *
- ☐ ☒ Owner's Certifications Regarding Occupied Housing
The building to be altered, constructed or demolished contains one or more occupied dwelling units.
- ☐ ☒ The building to be altered, constructed or demolished contains one or more dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.
- ☐ ☒ The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. If yes, select one of the following:

☐ The owner is not required to notify the New York State Homes and Community Renewal (NYSHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to NYSHCR regulations, does not require notification.

☐ The owner has notified the New York State Homes and Community Renewal (NYSHCR) of its intention to file such construction documents/application for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such (filing/application).

Provide date NYSHCR notified: _____

- ☒ ☐ Owner's Certification for Directive 14 Applications (if applicable)
I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy and the work is not inconsistent with the current certificate of occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a satisfactory final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Notes for Section 26A: Section required if unit owner signed Section 26. Signature required for authorized representative of Condo or Co-Op Board.

* For fee waivers, please see the PW1 User Guide

Owner ☐ Individual ☐ Partnership ☐ NYCHA/HHC
Type: ☒ Corporation ☐ Other Government ☐ NYC Agency
☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A

Is the deed holder a non-profit organization? ☒ YES ☐ NO

Name (please print): GERMAN E. ROA
Relationship to Owner: DIR. OF COMPL
Business Name/Agency: NEW YORK UNIVERSITY
Street Address: 10 ASTOR PLACE, 5TH FLOOR
City: NEW YORK State: NY Zip: 10003
Telephone Number: (212) 998-1401 Fax:
Email Address: GERMAN.ROA@NYU.EDU
Signature & Date: [Signature] 9/3/25

26A CONDO/CO-OP BOARD
(see note in bottom left corner of page)

Name (please print):
Title:
Street Address:
City: State: Zip:
Telephone Number: Fax:
Email Address:
Signature & Date: [Signature]

26B LESSEE RESPONSIBLE FOR ANNUAL SIGN OR MARQUEE PERMIT

Name (please print):
Relationship to Owner:
Business Name/Agency:
Street Address:
City: State: Zip:
Telephone Number: Fax:
Email Address: