



PW1: Plan / Work Application

Must be typewritten

☒ Orient and affix BIS job number label here ☒

122698214

1 Location Information Required for all applications.

House No(s) 116 Street Name EAST 102ND STREET

Borough MANHATTAN Block 1629 Lot 64 BIN 1051860 C.B. No.

Work on Floor(s) CEL, 001 - 005 Apt. / Condo No(s)

2 Applicant Information Required for all applications. Fax, mobile telephone and e-mail address are optional information.

Last Name COOGAN First Name JOHN Middle Initial R

Business Name OCV ARCHITECTS Business Telephone (212) 675-6470

Business Address 14 EAST 4TH STREET, ROOM 408 Business Fax (212) 675-6728

City NEW YORK State NY Zip 10012 Mobile Telephone

E-Mail JCOOGAN@OCVARCH.COM License Number 015826

Choose one: ☐ P.E. ☒ R.A. ☐ Sign Hanger ☐ R.L.A. ☐ Other:

3 Filing Representative Complete only if different from applicant specified in section 2. Fax, mobile phone, and e-mail are optional info.

Last Name UKONU/ARNOLD First Name ROBERT/SARA Middle Initial

Business Name OCV ARCHITECTS Business Telephone (646) 675-6470

Business Address 14 EAST 4TH STREET, ROOM 408 Business Fax (646) 675-6728

City NEW YORK State NY Zip 10012 Mobile Telephone

E-Mail DOB@OCVARCH.COM Registration Number 2171

4 Filing Status Required for all applications. Choose one and provide specified associated information.

☐ Initial Filing 5, 7, 11, 12A, 25-26

Choose only one:

☐ Standard Plan Examination or Review

☐ Professional Certification PC1, POC1

☐ Professional Certification of Objections A11

☐ Prior to Approval Actions 25-26

☐ Amend Existing Filing 4A

☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11

☐ Post Approval Amendment (PAA) 4A, 6, 24-25

Will PAA affect filing fees? ☐ Yes ☐ No

☐ New (Superseding) Applicant 4A, 25-26

☐ Reinstatement 24-26

☒ Withdrawal 26

☒ Specified in 4A and 6

☐ Entire Job

4A Indicate existing document number affected by filing: 02

5 Job/Project Types Choose one and provide specified associated information.

☐ Alteration Type 1 or Alteration Type 1 required to meet New Building requirements (28-101.4.5)

6A-E, 8B-C, 8F, 9-10, 12, 13C-F, 14, 18-20, 22 & PW1A, PD1

☐ Alteration Type 1, OT: "No Work" 8C, 8F, 9-10 & 12, 13C-F, 14, 18-19, 22, PW1A, PD1

☐ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, 13C-E, & 14, 20, 22

☒ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 20, 22

☐ New Building 6A-E, 8F, 9A, 9C-K, 9M, 10, 12 & 13A-E, 14, 18-20, PW1A, PD1

☐ Sign 5A, 6B-D, 9A, 9D, 22-23

☐ Full Demolition 6B, 8D, 9A & 9C-D, 9K, 9M, 13D-E, 14, 21A, 22

☐ Subdivision 9A, 9D, 12A-B

☐ Condominium ☐ Improved 17 5A Directive 14 acceptance requested?

☐ Yes ☐ No

6 Work Types Select all that apply but no more than allowed by job and filing type. "OT" required on all NB and Alteration 1 initial applications.

6A ☒ BL - Boiler PW1C

☐ FA - Fire Alarm

☐ FB - Fuel Burning PW1C

6B ☐ EQ - Construction Equipment 15

☐ FS - Fuel Storage PW1C

☐ FP - Fire Suppression

☐ MH - Mechanical

6C ☐ OT/GC - General Construction

☐ PL - Plumbing PW1B

☐ SD - Standpipe PW1B

☐ SP - Sprinkler PW1B

6D ☐ OT - Other, describe:

6E ☐ CC - Curb Cut 16

☐ OT/LAN - Landscape

6F ☐ OT/ANT - Antenna

☐ OT/BPP - Builders Pavement Plan 8D

☐ OT/FPP - Fire Protection Plan

☐ OT/MAR - Marquee 8E, 26B

OK TO WITHDRAW WITH INSPECTION 9/22/2020

Chief Plan Examiner
Manhattan Borough
Chintan Desai R.A.

7 Plans/Construction Documents Submitted *Plans are required for most applications.*Are plans being submitted with this PW1? ☐ Yes ☐ No *If yes, do the plans include:* ☐ FO — Foundation ☐ EN — Energy Analysis**8 Additional Information**

8A	WT	Cost	WT	Cost	WT	Cost	8B	Is a building enlargement proposed?	8C	Estimated Job Cost \$
								☐ No enlargement is proposed		8D Street Frontage: linear ft.
								☐ Yes 12, PD1		8E Height: ft. Width: ft.
								☐ Horizontal ☐ Vertical		8F Total Building Square Footage: sq. ft.
								Additional Construction Floor Area: sq. ft.		

9 Additional Considerations, Limitations or Restrictions

9A Review is requested under which building code? ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968

☐ Yes ☐ No ☐ Yes ☐ No

9B ☐ ☐ Alteration required to meet New Building requirements (28-101.4.5) *If yes, 13A-B* ☐ ☐ Change in number of dwelling units

☐ ☐ Alteration is a major change to exits ☐ ☐ Change in occupancy / use

☐ ☐ Change is inconsistent with current certificate of occupancy

9C ☐ ☐ Façade Alteration ☐ ☐ Change in number of stories

☐ ☐ Adult Establishment *If yes, plot diagram (except DM)* ☐ ☐ Infill Zoning

☐ ☐ Compensated Development (Inclusionary Housing) ☐ ☐ Loft Board

☐ ☐ Low Income Housing (Inclusionary Housing) ☐ ☐ Quality Housing ☐ Yes ☐ No Work Includes:

☐ ☐ Single Room Occupancy (SRO) Multiple Dwelling ☐ ☐ Site Safety Job/Project ☐ ☐ Prefab wood I-joists

☐ ☐ Filing includes Lot Merger / Reapportionment *If yes, 17* ☐ ☐ Included in LMCCC ☐ ☐ Structural cold-formed steel

☐ ☐ Open-web steel joists

9D ☐ ☐ Landmark ☐ ☐ Filing to address violations (list #s—max. 5):

☐ ☐ Little "E" or RD Site

☐ ☐ Unmapped/CCO Street

☐ ☐ Requesting legalization of work where no work without a permit violations have been issued

☐ ☐ Other (please specify on line provided below): ☐ ☐ Filing to comply with Local Laws (list #s—max. 2)

☐ ☐ CRFN(s) Restrictive Declaration / Easement (max. 4):

☐ ☐ CRFN(s) Zoning Exhibit (I, II, III, etc. - max. 4):

9E ☐ ☐ BSA Calendar Numbers (max. 5):

9F ☐ ☐ CPC Calendar Numbers (max. 5):

9G ☐ ☐ Work includes lighting fixture and/or controls, installation or replacement. [ECC §404 and §505]

9H ☐ ☐ Work includes modular construction under New York State jurisdiction

☐ ☐ Work includes modular construction under New York City jurisdiction

9I High Rise Team tracking #:

9J ☐ ☐ Structural peer review required per BC 16. *If yes, provide NYS P.E. license number:*

9K ☐ ☐ Work includes permanent removal of standpipe, sprinkler or fire suppression related systems

9L ☐ ☐ Work includes partial demolition as defined in AC §28-101.5, or the raising/moving of a building *If yes, 21B*

☐ ☐ Structural stability affected by proposed work

9M ☐ ☐ Work involves or will result in an amount of soil disturbance greater than or equal to one acre in an MS4 area

☐ ☐ Work is part of a larger common plan or development or sale that involves or will result in an amount of soil disturbance greater than or equal to one acre in an MS4 area

10 NYCECC Compliance *New York City Energy Conservation Code*

- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is in compliance with the NYCECC*
- Code Compliance Path (choose **one**): ☐ NYCECC ☐ ASHRAE
- Energy Analysis (choose **one**): ☐ Tabular Analysis ☐ REScheck ☐ COMcheck ☐ Energy Modeling (EN1)
- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is exempt from the NYCECC* in accordance with one of the following (choose **one**):
- ☐ The work is an alteration of a State or National historic building.
- ☐ The scope of the work is entirely in a "low-energy building" and is limited to the building envelope.
- ☐ The entire scope of work involves a temporary structure and/or one or more of the following work types: FA, FP, SD, SP, FS, EQ, CC, OT/BPP, OT/FPP. Other work types are not exempt.
- ☐ This is a post-approval amendment and exempt under a prior edition of the energy code. See statement of exemption on attached drawings.

[illegible]

12 Zoning Characteristics										
12A District(s)				12B Street legal width: _____ ft.						
Overlay(s)				Street Status: ☐ Public ☐ Private						
Special Dist.(s)				If the zoning lot includes multiple tax lots, list all tax lots here ►						
Map Number										
12C Proposed:	Use*	Zoning	Floor Area	District	FAR	Proposed Lot Details:		Proposed Yard Details:		
			sq. ft.			Lot Type: ☐ Corner ☐ Interior ☐ Through		Check here if no yards: ☐ or		
			sq. ft.			Lot Coverage _____ %		Front Yard _____ ft.		
			sq. ft.			Lot Area _____ sq. ft.		Rear Yard _____ ft.		
			sq. ft.			Lot Width _____ ft.		Rear Yard Equivalent _____ ft.		
			sq. ft.			Proposed Other Details:		Side Yard 1 _____ ft.		
			sq. ft.			Enclosed Parking? ☐ Yes ☐ No		Side Yard 2 _____ ft.		
Proposed Totals			sq. ft.			If yes, no. of parking spaces: _____				
Existing Total			sq. ft.			Perimeter Wall Height _____ ft.				
*Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.										

13	Building Characteristics *Main use/dominant occupancy per AC §28-101.5. **Use 2014 Code equivalents only. †Residential w/other use.									
13A Primary structural system, choose one : ☐ Masonry ☐ Concrete (CIP) ☐ Concrete (Precast) ☐ Wood ☐ Steel (Structural) ☐ Steel (Cold-Formed) ☐ Steel (Encased in Concrete)										
13B		Existing			Proposed			13D Building Type: ☐ 1, 2, or 3 Family ☐ Other		
Structural Occupancy/Risk Cat.								Mixed use building?† ☐ Yes ☐ No		
Seismic Design Cat.		2014 Code Designations?			2014 Code Designations?					
13C Occupancy Classification*		☐ Yes ☐ No			☒ Yes**			13E		
Construction Classification		☐ Yes ☐ No			☐ Yes ☐ No			Building Height		ft.
Multiple Dwelling Classification								Building Stories		
								Dwelling Units		
13F Building was originally erected pursuant to which Building Code: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968 The earliest Code with which this building or any part of it is required to comply: ☐ 2014 ☐ 2008 ☐ 1968 ☐ Prior to 1968										

14	Fill Choose one . ☐ Not Applicable ☐ On-Site ☐ Off-Site ☐ Under 300 cubic yards
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15	Construction Equipment	16	Curb Cut Description
☐ Chute ☐ Sidewalk Shed Construction Material: _____		Size of cut (with splays): _____ ft.	
☐ Fence Size: _____ linear ft. BSA/MEA Approval No. _____		Distance to nearest corner: _____ ft.	
☐ Supported Scaffold ☐ Other: _____		to street: _____	

17	Tax Lot Characteristics		18	Fire Protection Equipment																													
Original tax lots being merged or reapportioned (if applicable): <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; height: 20px;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> </table>												<table style="width: 100%;"> <tr> <td></td> <td style="text-align: center;">Existing</td> <td style="text-align: center;">Proposed</td> </tr> <tr> <td></td> <td style="text-align: center;">Yes No</td> <td style="text-align: center;">Yes No</td> </tr> </table>			Existing	Proposed		Yes No	Yes No														
	Existing	Proposed																															
	Yes No	Yes No																															
Tentative tax lot numbers (new tax lots only): <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; height: 20px;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> </table>												<table style="width: 100%;"> <tr> <td style="width: 5%;">Fire Alarm</td> <td style="width: 5%; text-align: center;">☐</td> <td style="width: 5%; text-align: center;">☐</td> <td style="width: 5%; text-align: center;">☐</td> <td style="width: 5%; text-align: center;">☐</td> </tr> <tr> <td>Fire Suppression</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> </tr> <tr> <td>Sprinkler</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> </tr> <tr> <td>Standpipe</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> <td style="text-align: center;">☐</td> </tr> </table>		Fire Alarm	☐	☐	☐	☐	Fire Suppression	☐	☐	☐	☐	Sprinkler	☐	☐	☐	☐	Standpipe	☐	☐	☐	☐
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Sprinkler	☐	☐	☐	☐																													
Standpipe	☐	☐	☐	☐																													

19 Open Spaces

	Existing	Proposed		Existing	Proposed
Plaza Area	sq. ft.	sq. ft.	Arcade Area	sq. ft.	sq. ft.
Parking Area	sq. ft.	sq. ft.	Parking Spaces		
Loading Berths	sq. ft.	sq. ft.	Loading Berths		

20 Site Characteristics

Yes No

- ☐ ☐ Tidal Wetlands
☐ ☐ Coastal Erosion Hazard Area
☐ ☐ Fire District

Yes No

- ☐ ☐ Freshwater Wetlands
☐ ☐ Urban Renewal
☐ ☐ Flood Hazard Area *If yes, 20A*

20A Flood Hazard Area Information

Yes No

- ☐ ☐ Substantial improvement?
☐ ☐ Substantially damaged?
☐ ☐ Floodshields part of proposed work?

21 Demolition Details **Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).*

Yes No

- 21A ☐ ☐ Demo. filing is for a secondary structure? *If yes, specify structure being demolished:*
☐ ☐ Mechanical means* from out of building? *If yes, mechanical means will demolish:* ☐ entire structure or ☐ part of structure
☐ ☐ Mechanical means* from within building? *If yes, describe equipment proposed:*
21B ☐ ☐ Demolition work affects the exterior building envelope
☐ ☐ The scope of work involves raising/moving of a building

22 Asbestos Abatement Compliance *Choose one.*

- ☐ The scope of work requires related asbestos abatement as defined in the regulations of the NYC Department of Environmental Protection (DEP).
☐ The scope of the work is **not** an asbestos project as defined in the regulations of the NYC DEP. *DEP Control # is required.*
DEP ACP-5 Control No. _____
☐ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(b)) or is an alteration to a building constructed pursuant to plans submitted for approval on or after April 1, 1987, in accordance with § 28-106.1.

23 Sign

Purpose:	Type:	Estimated Cost: \$	23A Illuminated type: ☐ Direct ☐ Flashing ☐ Indirect
☐ Advertising	☐ Illuminated 23A	Total Square Feet: _____	Yes No
☐ Non-Advertising	☐ Non-Illuminated	Height above Curb: _____ ft. in.	☐ ☐ If sign projects beyond building line, is owner billed for annual permit? <i>If no, specify in 26B</i>
Location: ☐ Ground ☐ Roof 23B ☐ Wall	Height above Roof: _____ ft. in.		23B ☐ ☐ Is roof sign tight, closed or solid?
Yes No			23C Sign wording. <i>If extensive, provide only key wording.</i>
☐ ☐ Is sign inside building line? <i>If no, sign projects by:</i> _____ ft. in.			
☐ ☐ Designed for changeable copy? <i>If no, 23C</i>			
☐ ☐ Does an OAC have an interest in this sign or location? <i>If yes, 23G</i>			
☐ ☐ Within 900' and within view of an arterial highway? <i>If yes, 23D</i>			23D Distance from Arterial Highway: _____ ft.
☐ ☐ Within 200' and within view of a park 1/2 acre or more? <i>If yes, 23E</i>			23E Distance from Park 1/2 acre or more: _____ ft.
.....► <i>If answer is "yes" to either of the above two questions and this is an advertising sign, OAC sign number is required in section 23F</i>			23F OAC Sign Number: _____
			23G OAC Registration Number: _____

24 Comments *Place additional comments on an AI-1 form. See Guide for proper incorporation of professional certification statements.*

RESPECTFULLY REQUESTING TO WITHDRAW DOC #2, THE SUBSEQUENT APPLICATION FILED TO INCLUDE BOILER WORK TYPE. THIS DOCUMENT IS NO LONGER NEEDED, AS BOILER REPLACEMENT WILL NOT BE DONE UNDER THIS APPLICATION. THE OWNER PREVIOUSLY REPLACED THE BOILER PRIOR TO THE FILING DATE OF THIS APPLICATION. WE LATER LEARNED THAT THE BOILER WAS REPLACED AFTER THE APPLICATION WAS FILED. THE OWNER WILL HAVE DOCUMENTS AVAILABLE AT THE TIME OF THE INSPECTION, SHOWING THAT THE BOILER WAS REPLACED AND IS NOT ASSOCIATED WITH THIS APPLICATION FILING.

25 Applicant's Statements and Signatures *Required for all applications.*

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment for life or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or altered any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. I prepared or supervised the preparation of the construction documents and signed statements herein submitted and to the best of my knowledge and belief, the construction documents and work shown thereon comply with the provisions of the NYC Administrative Code and other applicable laws and rules. ☐ (←check here if) except as set forth in the accompanying documents. I acknowledge that I have read and complied with all regulations pertaining to this application and supplementary schedules submitted. **Cluster Development Statement** (if applicable): I hereby state that all specifications relating to this job are identical to those previously filed under the group lead job number, except as specified herein.

For initial New Building and Alteration 1 applications filed under the 2008 or 2014 NYC Building Code only: does this building qualify for high-rise designation? ☐ Yes ☐ No

Directive 14 initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. ☐ Yes ☐ No

Name (print): JOHN R COUGAN

Sign and Date: 09/10/2020

P.E. / R.A. Seal (apply seal; then, sign and date over seal)

26 Property Owner's Statements and Signatures

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment for life or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. Furthermore, I understand that I am responsible for insuring that a final inspection be performed when the permitted work is complete, and that a satisfactory report of final inspection be submitted, along with all required submittal documents, so that the NYC Department of Buildings may issue a letter of completion or certificate of occupancy within the time prescribed by law.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

Yes No

☐ ☐ **Fee Exemption Request (Non-Profit Owned and Operated)**

In accordance with Administrative Code §28-112.1, Exception 1, I certify that the deed holder is a corporation or association organized and operated exclusively for the purposes indicated in such section, and that the property is used exclusively by such entity for such purposes. ★

☒ ☐ **Fee Exemption Request (NYCHA/HHC, NYC Agency, or Other Government Owned and Operated)** The building or any part thereof to be constructed, renovated, altered or demolished is owned and operated exclusively for the purposes of the NYC Agency, NYC Authority, NYS Agency, Federal Government or any other government entity. ★

☐ ☒ **Owner's Certifications Regarding Occupied Housing**

The site of the building to be altered or demolished, or the site of the new building to be constructed, contains one or more occupied dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.

☐ ☒ The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. **If yes, select one of the following:**

☐ The owner is not required to notify the New York State Homes and Community Renewal (NYSHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to NYSHCR regulations, does not require notification.

☐ The owner has notified the New York State Homes and Community Renewal (NYSHCR) of its intention to file such construction documents/apply for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such [filing/application].

Provide date NYSHCR notified: _____

☐ ☐ **Owner's Certification for Directive 14 Applications (if applicable)**

I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy and the work is not inconsistent with the current certificate of occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a satisfactory final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Notes for Section 26A: Section required if unit owner signed Section 26. Signature required for authorized representative of Condo or Co-Op board.

★ For fee waivers, please see the PW1 User Guide

Owner ☐ Individual ☐ Partnership ☐ NYCHA / HHC

Type: ☒ Corporation ☐ Other Government ☐ NYC Agency

☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A

Is the deed holder a non-profit organization? ☒ Yes ☐ No

Name (please print): CHRIS CIRILLO

Relationship to Owner: EXEC. DIRECTOR

Business Name/Agency: SAN JUAN ASSOCIATES LP

Street Address: 421 EAST 116TH STREET

City: NEW YORK State: NY Zip: 10029

Telephone Number: (212) 410-3153 Fax: (212) 369-9721

E-Mail Address: CIRILLOC@LOTTCDC.ORG

Signature and Date ►

26A Condo/Co-Op Board *See note in bottom left corner of page.*

Name (please print):

Title:

Street Address:

City:

State:

Zip:

Telephone Number:

Fax:

E-Mail Address:

Signature and Date ►

26B Lessee Responsible for Annual Sign or Marquee Permit

Name (please print):

Relationship to Owner:

Business Name/Agency:

Street Address:

City:

State:

Zip:

Telephone Number:

Fax:

E-Mail Address: