



# TR8: Technical Report Statement of Responsibility for Energy Code Progress Inspections

This form must be typewritten

DEPT BLDGS Job No. 121934924  
Scan Code ESHS8688682

**1 Location Information** Required for all applications.

House No(s) 45 Street Name SUTTON PLACE SOUTH

Work on Floor(s) 2ND FLOOR

**2 Applicant Information** Required for all applications.

Choose all that apply: ☐ Design Applicant 3A, 4 ☒ Progress Inspections Applicant 3B-D, 5-6

Last Name FERRANTE

First Name JAMES

Middle Initial D

Business Name JAMES D. FERRANTE, ARCHITECT

Business Telephone (212) 673-7083

Business Address 23 WAVERLY PLACE

Business Fax

City NEW YORK

State NY

Zip 10003

Mobile Telephone

License Type choose one: ☐ P.E. ☒ R.A.

License Number 13258

**3 Energy Code Progress Inspection** Required for applications where Energy Code Compliance Progress Inspection is marked Yes on TR1

| 3A Identification of Requirement    |                                                | Table Reference in 1RCNY<br>§5000-01(h) (1) and (2) | 3B Identification of Responsibilities | 3C Certificate of Complete Inspections / Tests | 3D Withdraw Responsibilities |
|-------------------------------------|------------------------------------------------|-----------------------------------------------------|---------------------------------------|------------------------------------------------|------------------------------|
| Y                                   | N Progress Inspections                         |                                                     | Initial & Date                        | Initial & Date                                 | Initial & Date               |
| <input checked="" type="checkbox"/> | Protection of exposed foundation insulation    | (IA1), (IIA1)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Insulation placement and R values              | (IA2), (IIA2)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Fenestration u-factor and product rating       | (IA3), (IIA3)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Fenestration air leakage                       | (IA4), (IIA4)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Fenestration areas                             | (IA5), (IIA5)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Air sealing and insulation — visual            | (IA6), (IIA6)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Air sealing and insulation — testing           | (IA7), (IIA7)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Loading deck weather seals                     | (IIA8)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Vestibules                                     | (IIA9)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Fireplaces                                     | (IB1), (IIB1)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Shutoff dampers                                | (IB2), (IIB2)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | HVAC and service water heating equipment       | (IB3), (IIB3)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | HVAC and service water heating system controls | (IB4), (IIB4)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | HVAC insulation and sealing                    | (IB5), (IIB5)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Duct leakage testing                           | (IB6), (IIB6)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Electrical energy consumption                  | (IC1), (IIC1)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Lighting in dwelling units                     | (IIC2)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Interior lighting power                        | (IC2), (IIC3)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Exterior lighting power                        | (IIC4)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Lighting controls                              | (IIC5)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Electrical motors                              | (IIC6)                                              |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Maintenance information                        | (ID1), (IID1)                                       |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Permanent certificate                          | (ID2)                                               |                                       |                                                |                              |
| <input checked="" type="checkbox"/> | Solar Ready Requirements                       | (ID3)                                               |                                       |                                                |                              |

JDF 10/10/16

JDF 8/30/16

JDF 8/30/16

\* For column 3C, indicate date when the actual final inspection was performed.

#### 4 Design Applicant's Statements and Signatures *P.E./R.A. responsible for plans must sign and seal.*

I have identified herein all of the progress inspections, and commissioning required for compliance and determined whether commissioning is required.

Commissioning is required for applications where C408 or ASHRAE 90.1 Section 6.7.2.4 requires commissioning. Check one:

- ☐ This project requires commissioning and a preliminary commissioning report certification will be provide prior to sign-off.
- ☐ This project does not require commissioning.

Name (please print)

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*

#### 5 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☐ For the **progress inspections** indicated above in section 3 and identified by me for responsibility, I certify that I am the principal/director of the progress inspection agency accepting responsibility for conducting the inspections as identified in section 3B. I further certify that I have read the applicable sections of the New York City Construction Codes and 1 RCNY 5000-01 in connection with progress inspections as well as 1 RCNY 101-07, which specifies the qualifications required for each progress inspector, and that this agency meets those qualifications for each and every progress inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rules. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.
- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:
- ☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*

#### 6 Inspection Applicant's Certification of Completion

- ☒ I have completed the items specified herein and certify the following (check one only):
- ☒ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations.
- ☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print)

JAMES D. FERRANTE

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*