



TR8: Technical Report
Statement of Responsibility for
Energy Code Progress Inspections

This form must be typewritten

DEPT BLDGS Job No. 121461220
Scan Code ESHS1764494

1 Location Information Required for all applications

House No(s) 39 Street Name WEST 68 STREET 121461220
Work on Floor(s) 003, 004

2 Applicant Information Required for all applications

Choose all that apply: ☐ Design Applicant 3A, 4 ☒ Progress Inspections Applicant 3B-D, 5-6

Last Name ROTHSTEIN First Name GREGG Middle Initial
Business Name GREGG ROTHSTEIN, ARCHITECT Business Telephone 908-351-2809
Business Address 219 SURREY ROAD Business Fax
City HILLSIDE State NJ Zip 07205 Mobile Telephone
License Type choose one: ☐ P.E. ☒ R.A. License Number 026466

3 Energy Code Progress Inspection Required for applications where Energy Code Compliance Progress Inspection is marked Yes on TR1

3A Identification of Requirement		3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Y	N Progress Inspections	Table Reference in 1RCNY §5000-01(h) (1) and (2)	Initial & Date	Initial & Date
☐	☒ Protection of foundation insulation	(IA1), (IIA1)		
☐	☒ Insulation placement and R values	(IA2), (IIA2)		
☐	☒ Fenestration thermal values and ratings	(IA3), (IIA3)		
☐	☒ Fenestration ratings for air leakage	(IA4), (IIA4)		
☐	☒ Fenestration areas	(IA5), (IIA5)		
☐	☒ Air sealing and insulation — visual	(IA6), (IIA6)		
☐	☒ Air sealing and insulation — testing	(IA7)		
☐	☒ Projection factors	(IIA7)		
☐	☒ Loading deck weather seals	(IIA8)		
☐	☒ Vestibules	(IIA9)		
☐	☒ Fireplaces	(IB1), (IIB1)		
☐	☒ Dampers integral to building envelope	(IB2), (IIB2)		
☐	☒ HVAC and service water heating equipment	(IB3), (IIB3)		
☐	☒ HVAC and service water heating system controls	(IB4), (IIB4)		
☐	☒ Duct plenum and piping insulation and sealing	(IB5), (IIB5)		
☐	☒ Duct leakage testing	(IB6), (IIB6)		
☐	☒ Electrical metering	(IC1), (IIC1)		
☒	☐ Lighting in dwelling units	(IC2), (IIC2)		
☐	☒ Interior lighting power	(IIC3)		
☐	☒ Exterior lighting power	(IIC4)		
☒	☐ Lighting controls	(IIC5)		
☐	☒ Exit signs	(IIC6)		
☐	☒ Tandem wiring	(IIC7)		
☐	☒ Electrical motors	(IIC8)		
☐	☒ Maintenance information	(ID1), (IID1)		
☐	☒ Permanent certificate	(ID2)		

4 Design Applicant's Statements and Signatures *P.E./R.A. responsible for plans must sign and seal*

I have identified herein all of the progress inspections and tests required for compliance.

Name (please print)

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*

5 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☐ For the **progress inspections** indicated above in section 3, I certify that I am the principal/director of the progress inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes and 1 RCNY 5000-01 in connection with progress inspections as well as 1 RCNY 101-07, which specifies the qualifications required for each progress inspector and that this agency meets those qualifications for each and every progress inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rules. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:

☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.

☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*

6 Inspection Applicant's Certification of Completion

- ☒ I have completed the items specified herein and certify the following (check one only):

☐ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations.

☒ All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Energy Conservation Code and other designated rules and regulations, except as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

Name (please print)

GREGG ROTHSTEIN

Signature

Date

P.E. / R.A. Seal *(apply seal, then sign and date over seal)*