



PW1: Plan / Work Application

Must be typewritten.



1 Location Information *Required for all applications.*

House No(s) 156	Street Name WEST 131ST STREET			
Borough MANHATTAN	Block 1915	Lot 59	BIN 1058069	C.B. No. 110
Work on Floor(s) 1			Apt. / Condo No(s)	

2 Applicant Information *Required for all applications. Fax, mobile telephone and e-mail address are optional information.*

Last Name SMITH, III	First Name ALBERT	Middle Initial
Business Name A.S. III DESIGN STUDIO		Business Telephone 212-234-9930
Business Address 216 WEST 135TH STREET		Business Fax 212-234-9933
City NEW YORK	State NY	Zip 10030
E-Mail AS3DESIGNSTUDIO@AOL.COM		Mobile Telephone
License Number 021199		
Choose one: ☐ P.E. ☒ R.A. ☐ Sign Hanger ☐ Other, please specify:		

3 Filing Representative *Complete only if different from applicant specified in section 2. Fax, mobile phone, and e-mail are optional info.*

Last Name SMITH/ NORTHERN	First Name MARVIN/MARIO	Middle Initial
Business Name A.S. III DESIGN STUDIO		Business Telephone 212-234-9930
Business Address 216 WEST 135TH STREET		Business Fax 212-234-9933
City NEW YORK	State NY	Zip 10030
E-Mail AS3DESIGNSTUDIO@AOL.COM		Mobile Telephone
Registration Number		

4 Filing Status *Required for all applications. Choose one and provide specified associated information.*

☒ Initial Filing 5, 7, 11, 12A, 25-26 Review is requested under which Building Code? ☐ 2008 ☐ 1968 ☒ Prior to 1968 Choose ☒ Standard Plan Examination or Review one: ☐ Professional Certification PC1, POC1 ☐ Professional Cert. of Objections AI1	☐ Prior to Approval Actions 25-26 ☐ Amend Existing Filing 4A ☐ Subsequent Filing 6-7, 8A (Alt-2 only), 11 ☐ Post Approval Amendment (PAA) 4A, 6, 24-25 Will PAA affect filing fees? ☐ Yes ☐ No ☐ New (Superseding) Applicant 4A, 25-26	☐ Reinstatement 24-26 ☐ Withdrawal 26 ☐ Specified in 4A and 6 ☐ Entire Job 4A Indicate existing document number affected by filing:
---	--	---

5 Job/Project Types *Choose one and provide specified associated information.*

☐ Alteration Type 1 6A-E, 8B-C, 9-10, 13C-F, 14 & 18-20, 22, PW1A, PD1, select all that apply: ☐ Change in Exits ☐ Change in Number of Stories ☐ Change in Number of Dwelling Units ☒ Change in Occupancy / Use ☐ Change inconsistent with current Cert. of Occup.	☐ Alteration Type 1, OT: "No Work" 8C, 9-10 & 12, 13C-F, 14, 18-19, 22, PW1A, PD1 ☒ Alteration Type 2 5A, 6A-D, 8A-B, 9-10, & 13C-E, 14, 20, 22 ☐ Alteration Type 3 5A, 6B-F, 8C, 9-10, 13C-E, 22 ☐ New Building 6A-E, 8F-G, 9A-C, 9L, 10, 12, 13A-E (13B: 2008 Code only), 14, 18-20, PW1A, PD1	☐ Full Demolition 6B, 8D, 9B-D, & 13D-E, 14, 21A, 22 ☐ Sign 5A, 6B-D, 9B, 22-23 ☐ Subdivision 9B, 12A-B ☐ Condominium ☐ Improved 17 5A Directive 14 acceptance requested? ☒ Yes ☐ No
--	--	---

6 Work Types *Select all that apply but no more than allowed by job and filing type. "OT" required on all NB and Alteration 1 initial applications.*

6A ☐ BL - Boiler PW1C ☐ FA - Fire Alarm ☐ FB - Fuel Burning PW1C	☐ FS - Fuel Storage PW1C ☐ FP - Fire Suppression ☐ MH - Mechanical	☒ PL - Plumbing PW1B ☐ SD - Standpipe PW1B ☐ SP - Sprinkler PW1B	6E ☐ CC - Curb Cut 16
6B ☐ EQ - Construction Equipment 15	6C ☒ OT/GC - General Construction	6D ☐ OT - Other, describe:	6F ☐ OT/ANT - Antenna ☐ OT/BPP - Builders Pavement Plan 8D ☐ OT/FPP - Fire Protection Plan ☐ OT/MAR - Marquee 8E, 26B

7 Plans/Construction Documents Submitted *Plans are required for most applications.*

☒ AR - Architectural ☐ BP - BPP Checklist ☐ DM - Demolition (Full/Partial) ☐ EN - Energy Analysis ☐ FO - Foundation or ☐ NP - No Plans
☐ ME - Mechanical ☐ OT - Other ☐ PL - Plumbing ☐ ST - Structural ☐ ZO - Zoning

8 Additional Information

8A WT	Cost	WT	Cost	WT	Cost	8B Is a building enlargement proposed?	8C Estimated Job Cost \$
OT	\$3,807					☒ No enlargement is proposed	8D Street Frontage: linear ft.
PL	\$2,900					☐ Yes 12, PD1	8E Height: ft. Width: ft.
						☐ Horizontal ☐ Vertical	8F Name of cluster or development below:
						Additional Construction Floor Area:	Project lead job no.
8G Total Construction Floor Area: sq. ft.						sq. ft.	

9 Additional Considerations, Limitations or Restrictions

Yes No	Yes No	9F Structural Peer Reviewer License No. P.E.
9A ☐ ☒ Structural peer review required per BC §1627 <i>If yes, 9F</i>	☐ ☒ Landmark	9G Local Law No(s) Year
9B ☐ ☒ Filed to Comply with Local Law <i>If yes, 9G</i>	☐ ☒ "Little E" Hazmat Site	
☐ ☒ Other, specify: _____	☐ ☒ Unmapped Street	9H Violation No(s)
☐ ☒ Restrictive Declaration / Easement <i>If yes, 9M</i>	☐ ☒ Filing to Address Violation(s) <i>If yes, 9H</i>	
☐ ☒ Zoning Exhibit (I, II, III, etc.) <i>If yes, 9N</i>		9I BSA Calendar No(s)
☐ ☒ Requesting legalization of work where no work w/o a permit violations have been issued		
9C ☐ ☒ Adult Establishment <i>If yes, plot diagram (except DM)</i>	☐ ☒ Included in LMCCC	9J CPC Calendar No(s)
☐ ☒ Compensated Development (Inclusionary Housing)	☐ ☒ Infill Zoning	
☐ ☒ Low Income Housing (Inclusionary Housing)	☐ ☒ Loft Board	
☐ ☒ Single Room Occupancy (SRO) Multiple Dwelling	☐ ☒ Quality Housing	
☐ ☒ Filing includes Lot Merger / Reapportionment <i>If yes, 17</i>	☐ ☒ Site Safety Job/Project	
9D ☐ ☒ Includes permanent removal of standpipe, sprinkler or fire suppression related systems		9K High-Rise Team Tracking Number:
9E ☐ ☒ Work includes partial demolition as defined in AC §28-101.5 <i>If yes, 21B</i>		
☒ ☐ Structural Stability affected by proposed work		
9L ☐ ☒ Work includes lighting fixture and/or controls, installation or replacement. [SECC 404 and 505]		
9M CRFN(s) Restrictive Declaration / Easement (max. 4):		
9N CRFN(s) Zoning Exhibit (I, II, III, etc. - max. 4):		

10 NYCECC Compliance *New York City Energy Conservation Code*

- ☒ To the best of my knowledge, belief and professional judgment, all work under this application is in compliance with the NYCECC*
☐ Energy analysis is on another job number: _____
Yes No
☐ ☐ This application is, or is part of, a project that utilizes trade-offs among different major systems
☐ ☐ This application utilizes trade-offs within a single major system
- ☐ To the best of my knowledge, belief and professional judgment, all work under this application is exempt from the NYCECC* in accordance with one of the following: *Choose one*
☐ The work is an alteration of a State or National historic building.
☐ The scope of work is entirely in a "low-energy building" and is limited to the building envelope.
☐ The scope of work does not affect the energy use of the building.
☐ This is a post-approval amendment and exempt under a prior edition of the energy code. See statement of exemption on attached drawings.

* Note: Exceptions to Section ECC 101.4.3 are NOT exemptions. For exceptions, check compliance statement and use the Energy Analysis.

11 Job Description

MINOR KITCHEN CABINETS REMOVAL AND REPLACEMENT AND NEW COUNTER AT FIRST FLOOR APTS. ONLY. CONSTRUCT NEW ENCLOSING PARTITION AT UNDERSIDE OF 1ST FLOOR PUBLIC HALL STAIR. INSTALL NEW FIRE ESCAPE BASKET AT 1ST FLOOR FRONT APARTMENT WINDOW. RELOCATE KITCHEN SINKS AT 1ST FLOOR APTS. AS INDICATED

11A Related DOB Job Numbers

11B Primary application job no.

12 Zoning Characteristics

12A District(s) C1-2 IN R7-2

Overlay(s)

Special Dist.(s)

Map Number 6a

12B Street legal width: 60 ft.

Street Status: ☒ Public ☐ Private

If the zoning lot includes multiple tax lots, list all tax lots here ►

12C Proposed:	Use*	Zoning Floor Area	District	FAR
		sq. ft.		
		sq. ft.		
		sq. ft.		
		sq. ft.		
		sq. ft.		
		sq. ft.		
Proposed Totals		sq. ft.		
Existing Total		sq. ft.		

Proposed Lot Details:Lot Type: ☐ Corner ☐ Interior ☐ Through

Lot Coverage %

Lot Area sq. ft.

Lot Width ft.

Proposed Other Details:Enclosed Parking? ☐ Yes ☐ No

If yes, no. of parking spaces:

Perimeter Wall Height ft.

Proposed Yard Details:Check here if no yards: ☐ or

Front Yard ft.

Rear Yard ft.

Rear Yard Equivalent ft.

Side Yard 1 0 ft.

Side Yard 2 0 ft.

*Use can be one of the following: residential, commercial, manufacturing, or community facility. List only one use per line.

13 Building Characteristics *Main use/dominant occupancy per AC §28-101.5. **Use 2008 Code equivalents only. †Residential w/other use.

13A Primary structural system, choose one:

☒ Masonry☐ Concrete (CIP)☐ Concrete (Precast)☐ Wood☐ Steel (Structural)☐ Steel (Cold-Formed)☐ Steel (Encased in Concrete)

13B

	Existing	2008 Code Designations?	Proposed	2008 Code Designations?
Structural Occupancy Category				
Seismic Design Category				

13C	Occupancy Classification*	2008 Code Designations?	Occupancy Classification*	2008 Code Designations?
	R3	☐ Yes ☒ No	R3	☒ Yes**
	Construction Classification 3 NFP	☐ Yes ☒ No	Construction Classification 3 NFP	☐ Yes ☐ No
	Multiple Dwelling Classification NONE		Multiple Dwelling Classification NONE	

13D Building Type: ☐ 1, 2, or 3 Family ☒ OtherMixed use building?† ☒ Yes ☐ No

13E	Existing	Proposed
Building Height	60'-0" ft.	60'-0" ft.
Building Stories	5	5
Dwelling Units	18	18

13F Building was originally erected pursuant to which Building Code: ☐ 2008 ☐ 1968 ☒ Prior to 1968The earliest Code with which this building or any part of it is required to comply: ☐ 2008 ☐ 1968 ☒ Prior to 1968**14 Fill Choose one.**☒ Not Applicable☐ On-Site☐ Off-Site☐ Under 300 cubic yards**15 Construction Equipment**☐ Chute☐ Sidewalk Shed

Construction Material:

☐ Fence

Size: linear ft.

BSA/MEA Approval No.

☐ Supported Scaffold☐ Other:**16 Curb Cut Description**

Size of cut (with splays): ft.

Distance to nearest corner: ft.

to street:

17 Tax Lot Characteristics

Original tax lots being merged or reapportioned (if applicable):

Tentative tax lot numbers (new tax lots only):

18 Fire Protection Equipment

	Existing	Proposed
	Yes No	Yes No
Fire Alarm	☐ ☒	☐ ☒
Fire Suppression	☐ ☒	☐ ☒
Sprinkler	☒ ☐	☐ ☒
Standpipe	☐ ☒	☐ ☒

19 Open Spaces

	Existing	Proposed		Existing	Proposed
	sq. ft.	sq. ft.		sq. ft.	sq. ft.
Plaza Area			Arcade Area		
Parking Area			Parking Spaces		
Loading Berths			Loading Berths		

20 Site Characteristics

	Yes No
☐ ☒ Tidal / Fresh Water Wetlands	
☐ ☒ Urban Renewal	
☒ ☐ Fire District	
☐ ☒ Flood Hazard Area	

21 Demolition Details *Mechanical equipment other than handheld devices to be used for demolition or removal of debris (BC §3306.4).

Yes No

- 21A ☐ Demo. filing is for a secondary structure? *If yes, specify structure being demolished:*
☐ Mechanical means* from out of building? *If yes, mechanical means will demolish:* ☐ entire structure or ☐ part of structure
☐ Mechanical means* from within building? *If yes, describe equipment proposed:*
- 21B ☐ ☒ Demolition work affects the exterior building envelope

22 Asbestos Abatement Compliance Choose one.

- ☐ The scope of work requires related asbestos abatement as defined in the regulations of the NYC Department of Environmental Protection (DEP).
☒ The scope of work does **not** require related asbestos abatement as defined in the regulations of the NYC DEP.
☐ The scope of work is exempt from the asbestos requirement as defined in the regulations promulgated by the NYC DEP (15 RCNY 1-23(b)).

23 Sign

Purpose:		Type:	Estimated Cost: \$	23A Illuminated type: ☐ Direct ☐ Flashing ☐ Indirect
☐ Advertising	☐ Illuminated 23A	Total Square Feet:		Yes No
☐ Non-Advertising	☐ Non-Illuminated	Height above Curb:	ft. in.	☐ ☐ If sign projects beyond building line, is owner billed for annual permit? <i>If no, specify in 26B</i>
Location: ☐ Ground ☐ Roof 23B ☐ Wall	Height above Roof:	ft. in.		23B ☐ ☐ Is roof sign tight, closed or solid?
Yes No				23C Sign wording. <i>If extensive, provide only key wording.</i>
☐ ☐ Is sign inside building line? <i>If no, sign projects by:</i> ft. in.				23D Distance from Arterial Highway: ft.
☐ ☐ Designed for changeable copy? <i>If no, 23C</i>				23E Distance from Park 1/2 acre or more: ft.
☐ ☐ Does an OAC have an interest in this sign or location? <i>If yes, 23G</i>				23F OAC Sign Number:
☐ ☐ Within 900' and within view of an arterial highway? <i>If yes, 23D</i>				23G OAC Registration Number:
☐ ☐ Within 200' and within view of a park 1/2 acre or more? <i>If yes, 23E</i>				

If answer is "yes" to either of the above two questions and this is an advertising sign, OAC sign number is required in section 23F

24 Comments Place additional comments on an AI-1 form. See Guide for proper incorporation of professional certification statements.**25 Applicant's Statements and Signatures** Required for all applications.

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. I hereby certify that I have supervised the preparation of the construction documents and specifications herewith submitted and to the best of my knowledge and belief, the construction documents and specifications shown thereon comply with the provisions of the NYC Administrative Code and other applicable laws and rules, ☐ (←check here if) except as shown in the accompanying documents. I acknowledge that I have read and complied with all instructions pertaining to this application and supplement thereto.

Cluster Development Statement (if applicable): I hereby state that all specifications relating to this job are identical to those previously filed under the group lead job number, except as specified herein.

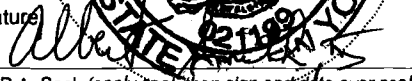
Yes No

- ☐ ☒ For Initial New Building and Alteration 1 applications filed under the 2008 NYC Building Code only: does this building qualify for high-rise designation?
- ☒ ☐ Directive 14 initial applications only: I certify that the construction documents submitted and all construction documents related to this application do not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy.

Name (please print)

ALBERT SMITH, III

Signature



Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

26 Property Owner's Statements and Signatures

Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department. Furthermore, I understand that I am responsible for insuring that a final inspection be performed when the permitted work is complete, and that a satisfactory report of final inspection be submitted, along with all required submittal documents, so that the NYC Department of Buildings may issue a letter of completion or certificate of occupancy within the time prescribed by law.

I have authorized the applicant to file this application for the work specified herein and all future amendments. I will not knowingly authorize any work that is not in compliance with the New York City Energy Conservation Code (NYCECC).

Yes No

☐ ☒ **Fee Deferred Request Statement**

I hereby request a fee deferral for the work proposed on this application and understand that **all fees must be paid before issuance of any Certificate of Occupancy or job sign off.**

☐ ☒ **Fee Exemption Request Statement**

In accordance with §28-112.1 of the NYC Administrative Code I hereby state that the proposed work involves a building or property owned or used exclusively for the purposes indicated in such section.

☐ ☒ **Owner's Certifications Regarding Occupied Housing**

The site of the building to be altered or demolished, or the site of the new building to be constructed, contains one or more occupied dwelling units that will remain occupied during construction. These occupied dwelling units have been clearly identified on the submitted construction documents.

☐ ☒ The site of the building to be altered or demolished, or the site of the new building to be constructed, contains occupied housing accommodations subject to rent control or rent stabilization under Chapters 3 and 4 of Title 26 of the New York City Administrative Code. **If yes, select one of the following:**

☐ The owner is not required to notify the Division of Housing and Community Renewal (DHCR) of the owner's intention to file because the nature and scope of the work proposed, pursuant to DHCR regulations, does not require notification.

☐ The owner has notified the Division of Housing and Community Renewal (DHCR) of its intention to file such construction documents/apply for such permit and has complied with all requirements imposed by the regulations of such agency as preconditions for such [filing/application].

Provide date DHCR notified:

☐ ☒ **Owner's Certification for Adult Establishments**

I authorize and intend to create, enlarge, or extend an establishment with adult activity and/or adult material as defined in ZR §12-10 "adult establishment" or related sign at the subject premises.

☒ ☐ **Owner's Certification for Directive 14 Applications (If applicable)**

I have read and am fully aware of the applicant's statement that the construction documents submitted and all construction documents related to this application will not require a new or amended Certificate of Occupancy as there is no change in use, exits, or occupancy. Furthermore, I understand that I am responsible for retaining a qualified design professional to perform a final inspection when the permitted work is complete and this professional must submit a satisfactory final inspection report to the NYC Department of Buildings within the time following inspection prescribed by Department rule.

Owner type: ☐ Individual ☐ DCAS ☐ HHC ☐ NYCHA
☐ Partnership ☐ DOE ☐ HPD ☐ NYS
☒ Corporation 26A ☐ Other Government
☐ Condo Unit Owner or Co-Op Tenant-shareholder 26A

Is the owner a non-profit organization? ☐ Yes ☐ No

Name (please print): FRANCIS SYNMOIE

Relationship to Owner: MANAGING PARTNER

Business Name/Agency: WEST 132ND STREET LLC

Street Address: 347 LENOX AVENUE

City: NEW YORK State: NY Zip: 10027

Telephone Number: 212-987-8088 Fax: 212-987-8250

E-Mail Address: *FSYNMOIE@NYRWINC.COM*

Signature and Date *[Signature]*

26A Condo/Co-Op Board or Corporation Second Officer

Name (please print): DONNA SYNMOIE

Title: PARTNER

Street Address: 347 LENOX AVENUE

City: NEW YORK State: NY Zip: 10027

Telephone Number: 212-987-8088 Fax: 212-987-8250

E-Mail Address: *DSYNMOIE@NYRWINC.COM*

Signature and Date* *[Signature]*

*Signature required for authorized representative of Condo or Co-Op board. Second officer signature not required for corporations.

26B Lessee Responsible for Annual Sign or Marquee Permit

Name (please print):

Relationship to Owner:

Business Name/Agency:

Street Address:

City: State: Zip:

Telephone Number: Fax:

E-Mail Address:

Internal Use Only

Pre-Filer Name:

Pre-Filer Signature:

Date:

Cost Estimate: \$

Amount Due: \$

Verified by ▼ Date ▼

Initial Amount Paid: \$

Balance Due: \$

Stamps, Certifications and Notes: