



TR1: Technical Report
Statement of Responsibility
This form must be typewritten

DEPT BLDGS Job No. 103505583
Scan Code ESHS3162639

This TR1 for use only with applications
approved before June 30, 2008
ra/jt

1 Location Information Required for all applications.

House No(s) 18 Street Name 1 Place
Work on Floor(s) 002

2 Applicant Information Required for all applications.

Choose one: ☒ Design Applicant 3a, 4 ☐ Controlled Inspections Applicant 3B-D, 6
Last Name HuDok First Name Robert Middle Initial
Business Name RDH Architecture Business Telephone 718.374.4188
Business Address 9101 Shore Road, Apt. 504 Business Fax
City Brooklyn State NY Zip 11209 Mobile Telephone
License Type choose one: ☐ P.E. ☒ R.A. License Number 028739

3 Items Required for all applications, continued on page 2. ■ indicates report required.

3A Identification of Requirement			3B Identification of Responsibilities	3C Certificate of Complete Inspections / Tests	3D Withdraw Responsibilities
Inspections & Tests			Initial & Date	Initial & Date	Initial & Date
☐ Borings/Test Pits	■ TR-4	27-720			
☐ Piling	■ TR-5	27-721			
☐ Subgrade		27-723, Dir 15/71			
☐ Controlled Fill		27-679(a)			
☐ Underpinning		27-724			
☐ Soil Bearing Pressure	■ AI-1	27-678			
☐ Welding		27-616			
☐ Aluminum		Table 10-2			
☐ Laminated Wood		Table 10-2			
☐ High Strength Bolts		Table 10-2			
☐ Cable Fittings		Table 10-2(640)			
☐ Smoke Test		27-868			
☐ Fire Stops		27-345			
☐ Ventilation System - Engineer/Installer		27-136, 779			
☐ Ventilation System - Fire Dampers		27-343(d), 779			
☐ Fuel Burning/Storage		27-794			
☐ Noise Control Tests		27-768, 769, 770			
☐ Refrigeration System		27-781			
☐ High Pressure Steam		1 RCNY §20-02			
☐ Soil Percolation Test - Dry Well	■	RS 16-P113			
☐ Soil Percolation Test - Septic	■	RS 16-P113.9			
☐ Curtain/Panel Wall	■	1 RCNY §32-01			
☐ Shoring		27-1010			
☐ Structural Stability		1 RCNY §16-01			
☐ Spray On Fireproofing - New Installation		27-324(f)			
☐ Spray On Fireproofing - Integrity Of Existing		27-324(g)			
☐ Reinforced Masonry		Table 10-2			
☐ Masonry Units		RS 10-3 3.2			
☐ Concrete		27-603			
☐ Concrete - Precast		27-607			
☐ Concrete - Pre-stressed		27-607			
☐ Concrete Design Mix	■ TR-3	27-605			
☐ Concrete Test Cylinders	■ TR-2	27-607a(1)			
☐ Sprinkler Test (hydrostatic)		27-967			

Items (continued) Required for all applications, continued on page 2. ■ indicates report required.

3A Identification of Requirement

Inspections & Tests	Code/Section	3B Identification of Responsibilities Initial & Date	3C Certificate of Complete Inspections / Tests Initial & Date	3D Withdraw Responsibilities Initial & Date
☐ Standpipe Test	27-951			
☐ Fire Alarm Test	27-977			
☐ Chimneys	27-856			
☐ Emergency Generators	27-794			
☒ Emergency Lighting - PA (if not in compliance with Memo 2/19/81)				
☐ Gas Pipe Welding - High Pressure	RS 16-P115			
☐ Tenant Protection Plan	Memo 1/6/84			
☐ Heating System/Boilers	27-793			
☐ Septic System Installation	RS 16-P113.3			
☐ Drywell Installation Retention/Detention	RS 16-P110.13			
☐ Light Gauge Steel	TPPN2/00			
☐ Wood Floor Trusses	TPPN2/00			
☐ Laminated I-Beam	TPPN2/00			
☐ Final Inspection	Directive 14 of 1975			

4 Design Applicant's Statements and Signatures P.E./R.A. responsible for plans, choose one below and sign/seal.

- ☒ I have identified the controlled inspections required for compliance
- ☐ I certify that the Licensed Professional or Registered Architect engaged by the owner to supervise the work specified above is acceptable. (Code §27-132 (a))

Name (please print)

Robert Hu

Signature

Date

5 Owner's Statement and Signature

Falsification of any statement is a misdemeanor under § 26-124 of the NYC Administrative Code and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I hereby state that I have authorized the applicant to perform the work specified herein

Name (print)

Title

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

6 Controlled Inspections Applicant's Statements and Signatures

Identification of Responsibilities (check all that apply below)

- ☐ I assume the responsibility for the items specified previously. I personally, or where permitted by the Building Code, qualified personnel under my direct supervision, will perform the required inspections and tests. All inspection and test reports shall be signed and filed with the Department.
- ☐ Directive 14 Inspection Request:
I will make inspections during the progress and upon completion of work. Controlled inspection reports and other required reports, appropriate for the job shall be filed by me before the work is reported complete.
Upon completion of the work and after my final inspection, I shall file a certification attesting to the fact that all work was performed and completed in accordance with the approval or accepted plans and with the provisions of the building code and other applicable laws and regulations, except as reported otherwise.
I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from permit issuance may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both.
- ☐ Change of Applicant: I am a newly designated individual responsible for the items specified herein and I hereby state that:
- ☐ None of the controlled inspections/tests indicated herein have been performed to date by the previously designated individual.
- ☐ Some of the controlled inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

Name (please print)

Signature

Date

P.E. / R.A. / Licensed Electrical Contractor Seal (apply seal, then sign and date over seal)

Certification of Completed Inspections (check all that apply below)

- ☐ I have completed the items specified herein and certify the following (check one only):
- ☐ All work performed has been performed in accordance with applicable provisions of the New York City Building Code and other designated rules and regulations
- ☐ All work performed has been performed in accordance with applicable provisions of the New York City Building Code and other designated rules and regulations, except as indicated in the attached report.
- ☐ Withdrawal of Applicant: I am withdrawing responsibility for the items of controlled inspection indicated herein and herewith submit the results or status of the work performed to date.....

Name (please print)

Signature

Date

P.E. / R.A. / Licensed Electrical Contractor Seal (apply seal, then sign and date over seal)

6 Owner's Statement and Signature for Progress/Special Inspector Required when inspection applicant identifies responsibilities.

I have reviewed the information provided herein and, to the best of my knowledge and belief, attest to its accuracy. I approve the identification of the responsible inspector. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both. It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by a fine or imprisonment, or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

Name (print)

Title

Signature

Date

7 Inspection Applicant's Identification of Responsibilities

Check all that apply below:

- ☐ For the **special inspections** indicated above in section 3, I certify that I am the principal/director of the special inspection agency accepting responsibility for conducting the inspections. I further certify that I have read the applicable sections of the New York City Construction Codes in connection with special inspections as well as 1 RCNY 101-06 Rule, which specifies the qualifications required for each inspection and that this agency meets those qualifications for each and every special inspection for which I/we take responsibility. I agree that both I and the agency will comply with all provisions of the New York City Construction Codes and the Rule. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ For the **progress inspections** indicated above in section 4, except energy code inspections on the TR1EN form, and/or **concrete test items** indicated in section 3, I assume the responsibility and I personally, or where permitted by the New York City Construction Codes, qualified personnel under my direct supervision, will perform the required inspections and tests on such forms and in such matter as the Department requires or requests. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Final Inspection:

- ☐ I will make final inspection of the construction work, including those inspections during its progress necessary to my certification upon final inspection that all work substantially conforms to approved construction documents and applicable laws and rules. I will confirm that the performance of progress inspections and other inspections has been documented before I report the work complete. As prescribed by 1 RCNY 101-10, I will perform the final inspection within 1 year from the expiration of the last valid permit of the work.

Upon completion of the work and within 30 days of my final inspection, I will file a certification attesting to the fact that all work was performed and completed in accordance with the approved construction documents, laws and rules, except as reported otherwise.

- ☐ I understand that my failure to file a certification of completion or to notify the Department of my withdrawal of responsibilities within one year from expiration of the last valid permit may result in the loss of my privileges to file under Directives 2 and 14 of 1975 or issuance of a violation, or both. I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

- ☐ **Change of Applicant:** I am a newly designated individual responsible for the items specified herein and I hereby state that:

☐ None of the inspections/tests indicated herein have been performed to date by the previously designated individual.

☐ Some of the inspections/tests indicated herein have been performed by the previously designated individual, as indicated in the attached report.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

8 Inspection Applicant's Certification of Partial Completion

- ☐ I have completed the items specified herein and certify that the all work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations, except as indicated in the attached report.

- ☐ **Withdrawal of Applicant:** I am withdrawing responsibility for the items of special/progress inspections and/or tests indicated herein and herewith submit the results or status of the work performed to date.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)

9 Inspection Applicant's Certification of Full Completion

All work performed substantially conforms to approved construction documents and has been performed in accordance with applicable provisions of the New York City Construction Codes and other designated rules and regulations.

I am aware of the additional sanctions imposed on false filings by §28-211.1.2 of the Administrative Code.

Name (please print)

Signature

Date

P.E. / R.A. Seal (apply seal, then sign and date over seal)